

# **Ketamine & Next Generation Therapies With Rapid Antidepressant Effects**

**Brain & Behavior Research Foundation Webinar  
August 13, 2013**

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**Experimental Therapeutics & Pathophysiology Branch (ETPB)**

**Section Neurobiology & Treatment of Mood Disorders (SNMD)**

**Intramural Research Program**

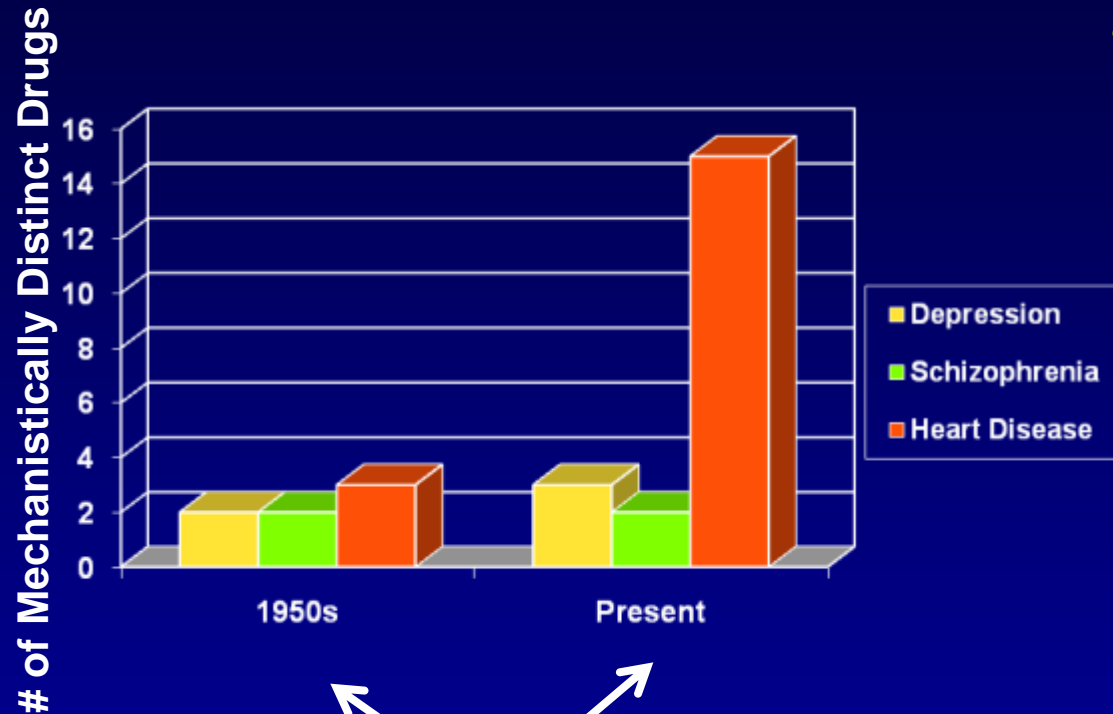
**National Institute of Mental Health**



# Disclosure

- Funding
  - Intramural research program/NIMH
  - No funding from industry
- Listed on a patent application submitted for the use of ketamine and its metabolites in depression. I have assigned my right on the patent to the US government
- Off label use of Scopolamine, Ketamine

# Drug Development in the past 50 years



Antidepressants ONLY serotonin and norepinephrine based ('me too drugs')

- Except for Li all available FDA approved treatments for Bipolar disorder are anticonvulsants or Antipsychotic drugs
  - Lithium
  - Anticonvulsants
    - Divalproex
    - Carbamazepine
    - Lamotrigine
    - Topiramate
    - Oxcarbazepine
    - Levetiracetam
  - Antipsychotics
    - Clozapine
    - Risperidone
    - Olanzapine
    - Quetiapine
    - Ziprasidone
    - Aripiprazole

# Critical areas to be addressed in translational therapeutic research of mood disorders within ETPB

## Areas in Need of Study and Treatments

Treatment-resistant depression

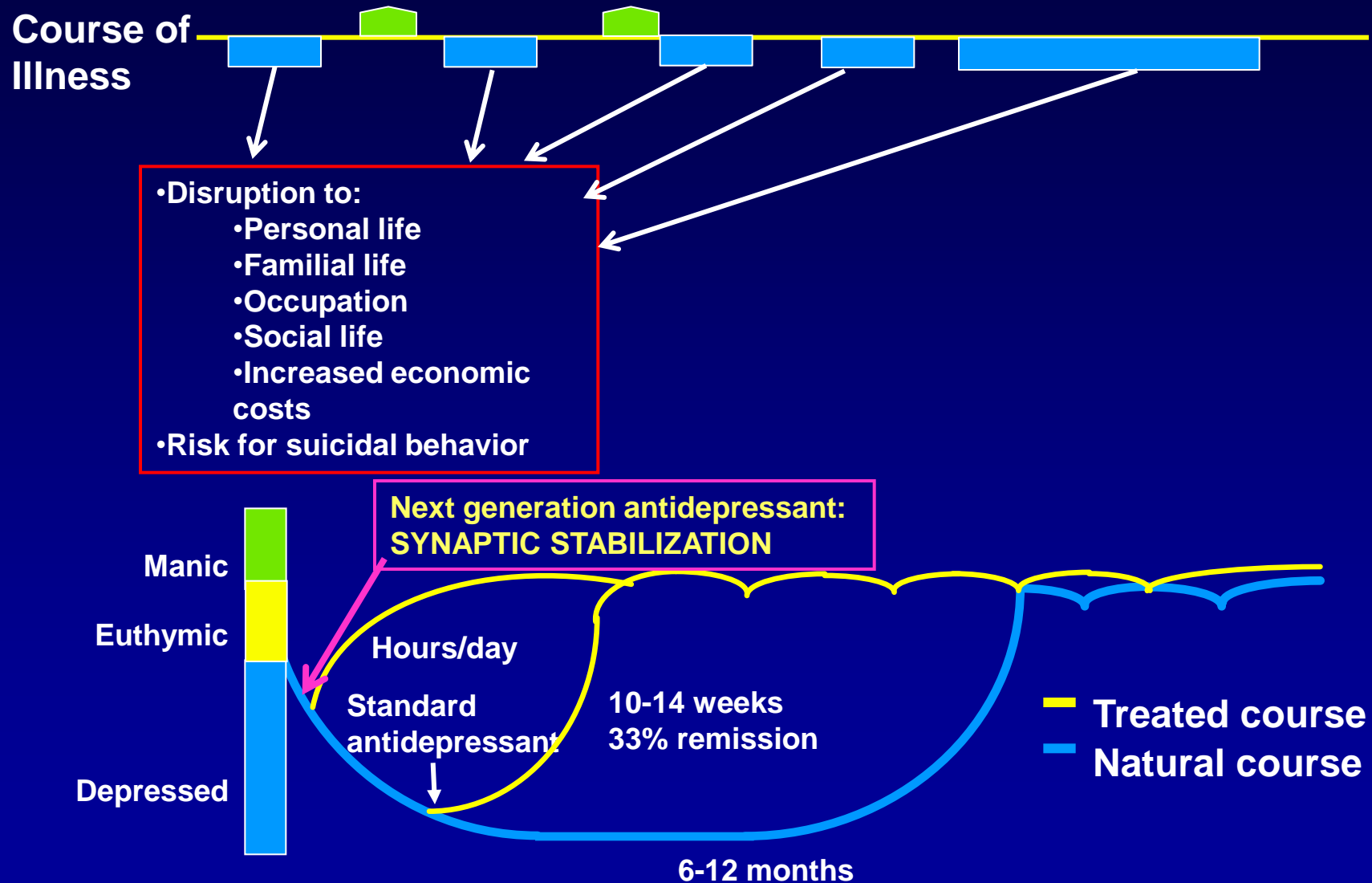
No drugs developed specifically for Bipolar disorder

Lag of onset of antidepressants of weeks

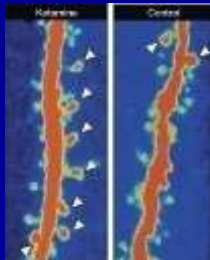
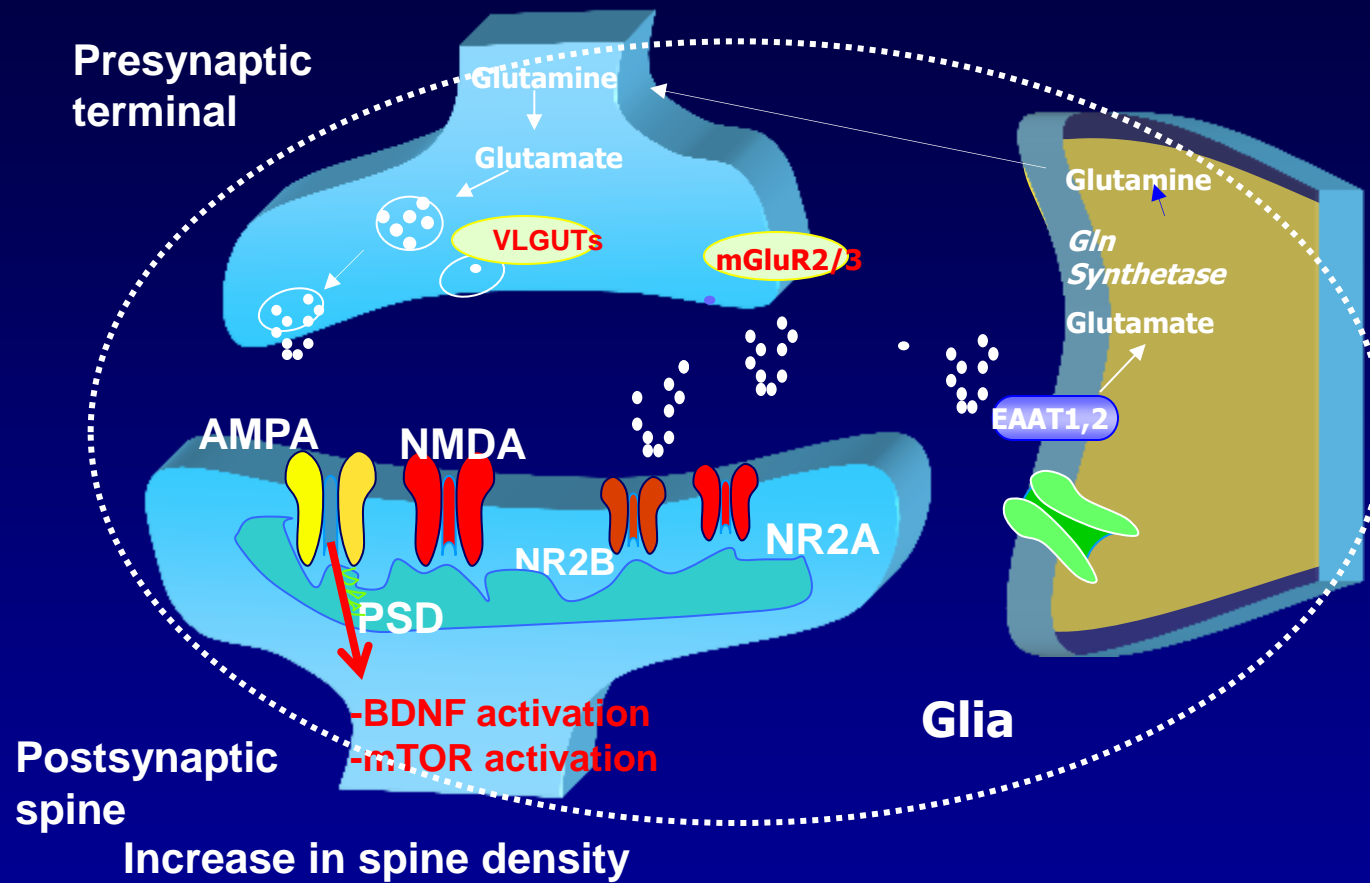
Lack of drugs that work rapidly in severe suicidal ideation

Lack of biomarkers predictive of rapid antidepressant response  
(development of “individualized” or “personalized” txs)

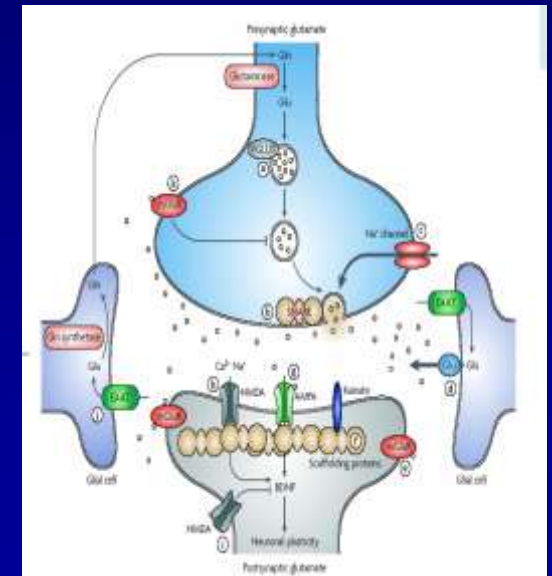
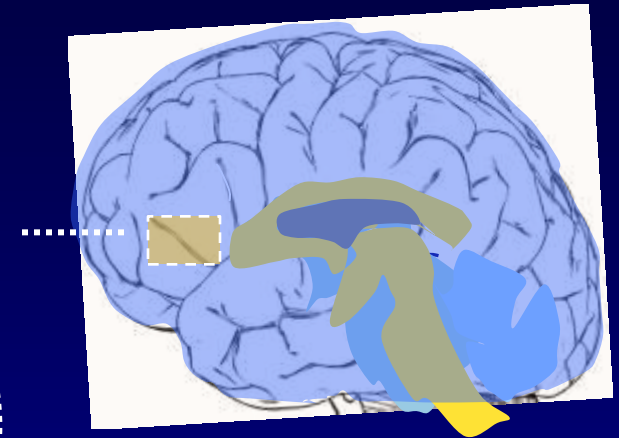
## Identify a problem: Lag of onset of antidepressant effects



# Glutamatergic System: Anatomy, Physiology and Downstream Changes



**Learning  
Memory  
Plasticity**

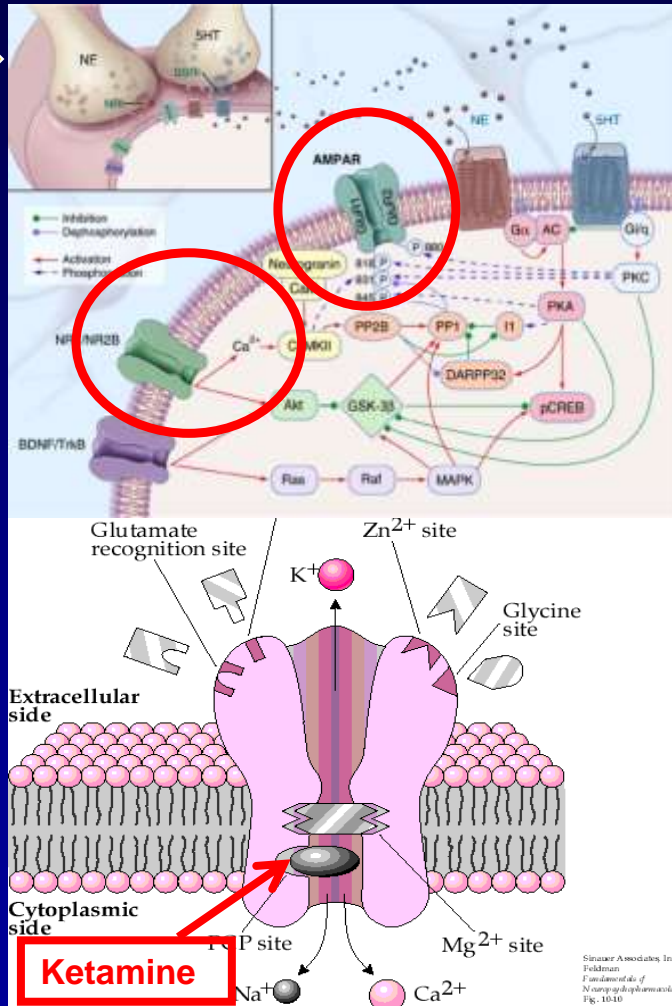


Sanacora, Zarate, Krystal, Manji. Nat Rev Drug Discov 2008

# Modification in synaptic AMPA & NMDA receptors

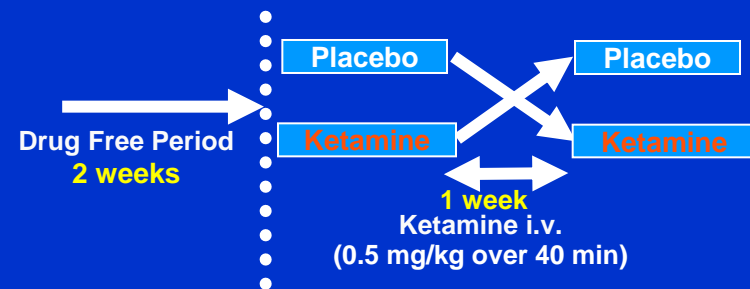
## Can the Onset of Action of Antidepressants be Accelerated?

SSRIs  
NRIs  
SNRIs



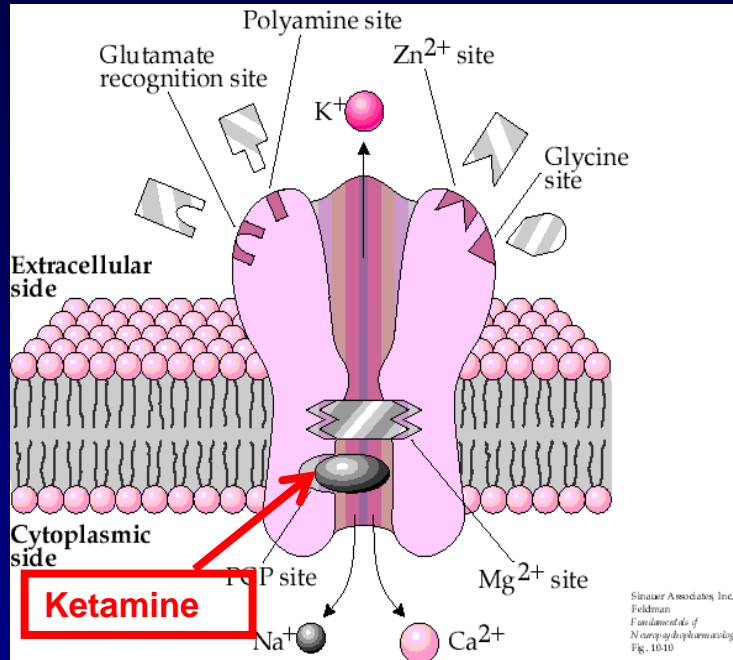
- **Synonyms:** Ketalar®, Ketaject®, Ketaset®, Vetalar®; K, Special K, Vitamin K, Jet, KitKat
- **Drug Class:** Dissociative anesthetic, hallucinogen, psychotomimetic
- **Ketamine is structurally similar to PCP, but 10-50 X less potent at NMDA**
- **Medical and Recreational Uses:**
  - In veterinary as a tranquilizer
  - Diagnostic & surgical procedures humans
  - As a short-acting general anesthetic for children and elderly patients
  - Recreationally

### Study design 4 studies Unipolar and Bipolar Depression



Ratings: min: 0, 40, 80, 110, 230; Days: 1,2,3, 7

# Ketamine psychological and physiological effects



- **Psychological:** decreased awareness of environment, sedation, dream-like state, vivid dreams, feelings of invulnerability, increased distractibility, generally uncommunicative
  - **Pseudo-hallucinations, impaired thought processes, out-of-body experiences, changes in perception about body, surroundings, time and sounds**
- **Physiological:** tachycardia, increased blood pressure, insensitivity to pain, amnesia
- **Other:** schizophrenic-like symptoms, dizziness, vomiting, and paranoia

**Tolerance, Dependence and Withdrawal Effects:** In long-term exposure, high tolerance, drug craving, and flashbacks are described

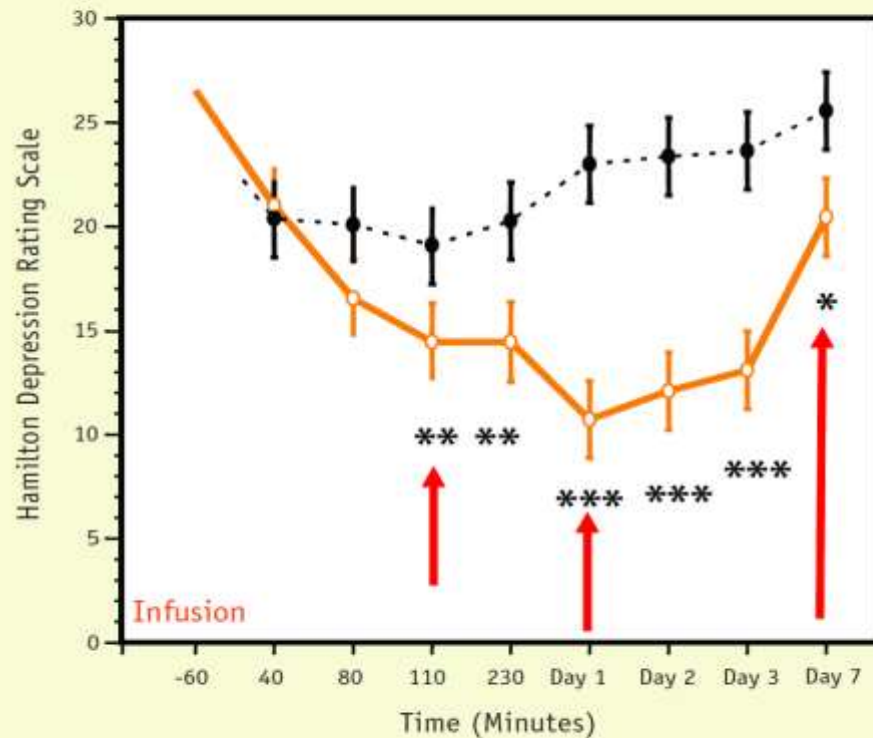
- **Little evidence of a physiological withdrawal syndrome unless abrupt discontinuation in chronic users**

Bowlde et al. Anesthesiology 1998;88:82-8; Choneim et al. J Clin Psychopharmacol 1985;5:70-7; Krystal et al. Arch Gen Psych 1994;51:199-214

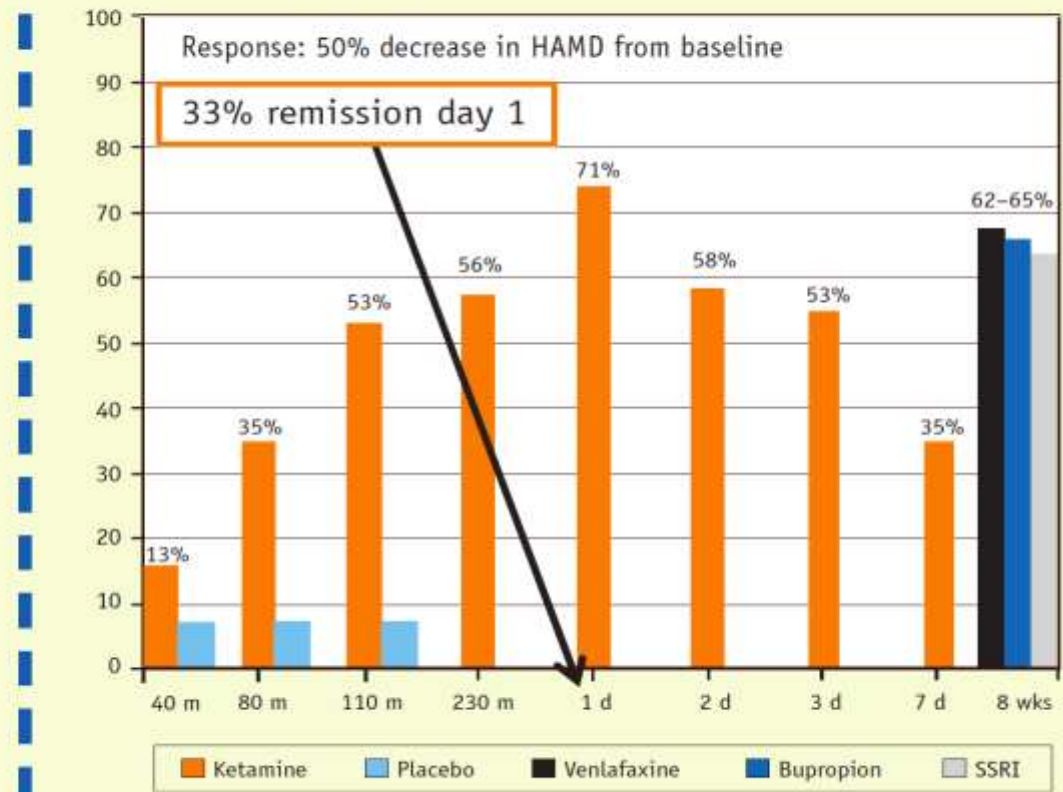


# Rapid Antidepressant Effect Associated with Ketamine

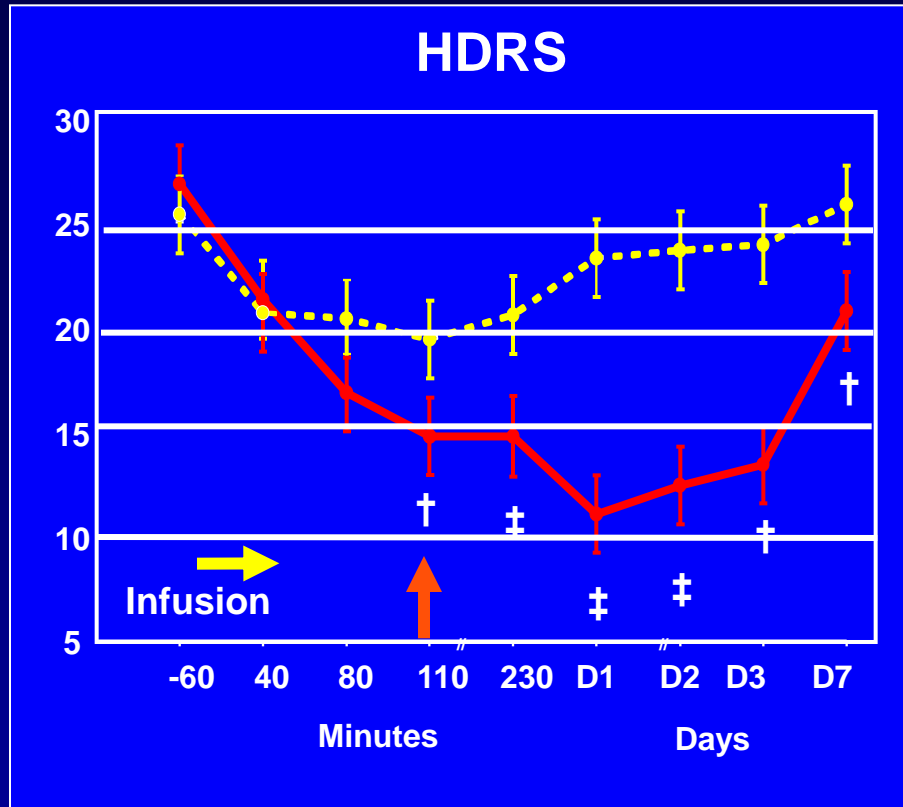
Robust, rapid, and relatively sustained antidepressant effect of low dose ketamine, and response rates to ketamine in a double-blind placebo crossover trial in patients with treatment-resistant major depression.



Zarate et al. Arch Gen Psychiatry, 2006

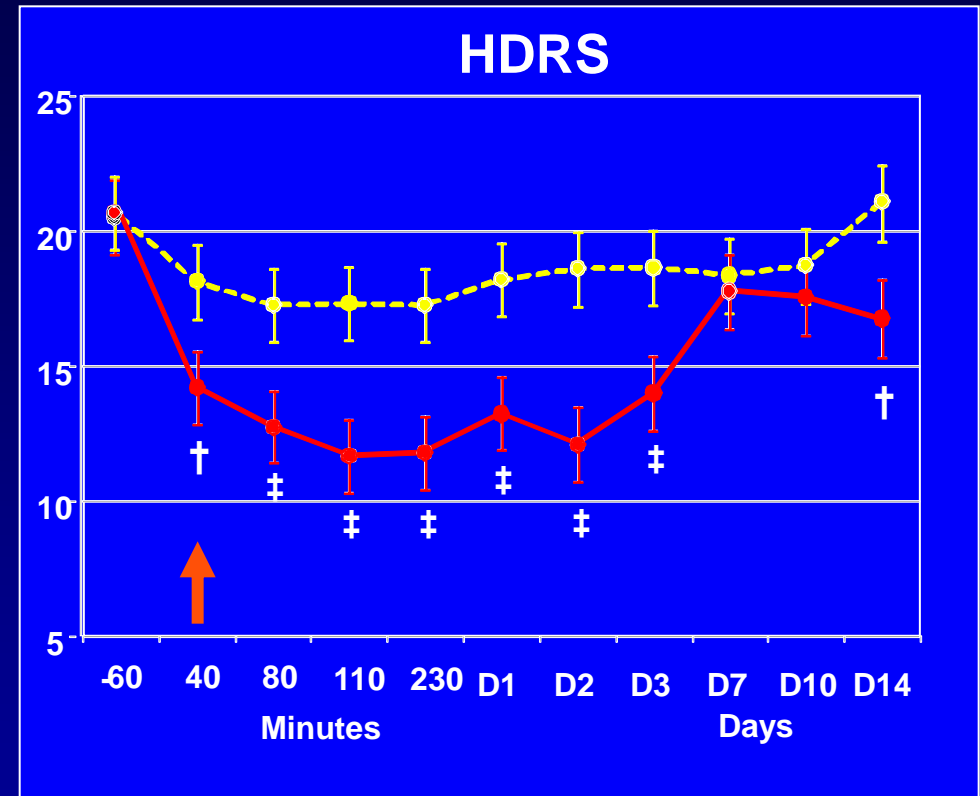


## Change in the Depression Scores Over One Week in Major Depressive Disorder



Zarate et al. Arch Gen Psych 2006

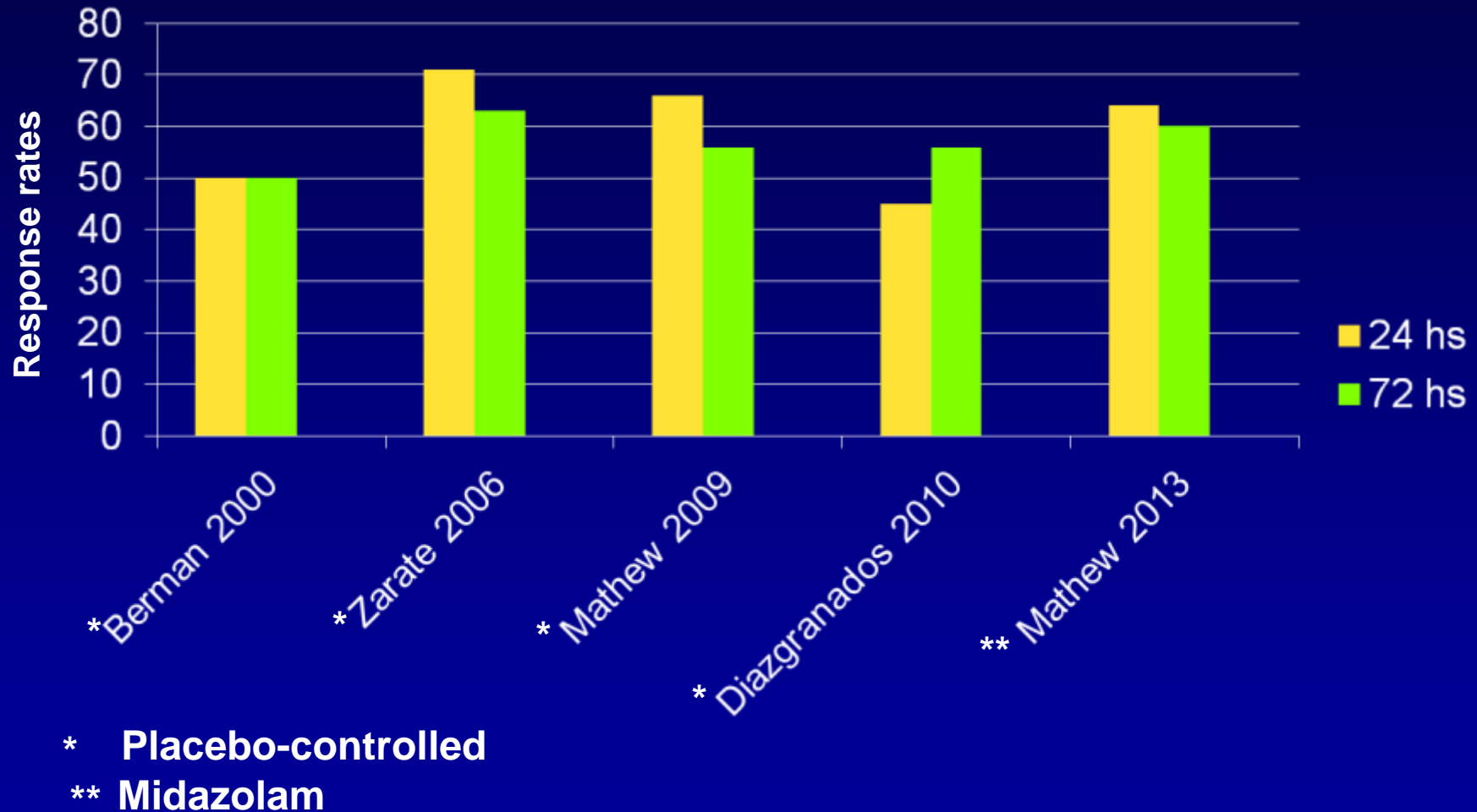
## Change in the Depression Scores Over One Week in Bipolar Depression



Diazgranados et al. Arch Gen Psych 2010



# Response at 24 and 72 hours Following a Single Ketamine Infusion, controlled studies



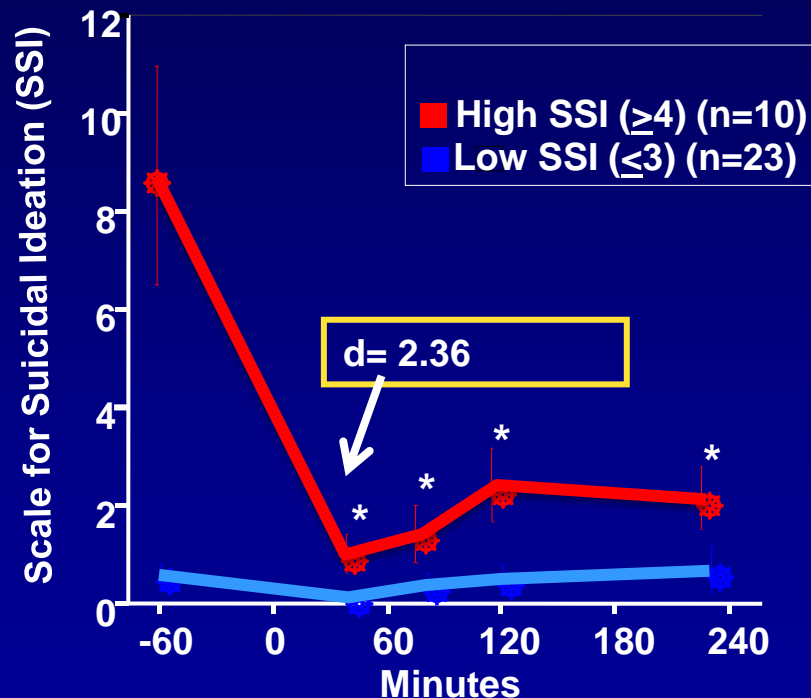
# Next Generation Treatments for Acute Severe Suicidal Ideation?

- Suicidal ideation or attempts in patients with major depression is an emergent condition that requires immediate treatment
- **Highest risk period of suicidal behavior is in the first 9 days of starting an antidepressant**
- From 1992 to 2001 emergency department visits for suicide attempt/self-injury increased by 47% (0.8 to 1.5 visits per 1000)
- **The risk for suicide attempts occurring in inpatient units is the 2nd most common sentinel event reported to the *Joint Commission***
  - ~1/3 of attempts in inpatient units in the US each year take place while the patient is on 15-minute checks
- **Military: in the army there are now more deaths by suicide than by combat**

Deisenhammer et al. J Clin Psychiatry 2009; Larkin et al. Crisis 2008; Janofsky J Am Acad Psychiatry Law 2009; Jick et al. JAMA 2004; Diazgranados et al. J Clin Psych 2010

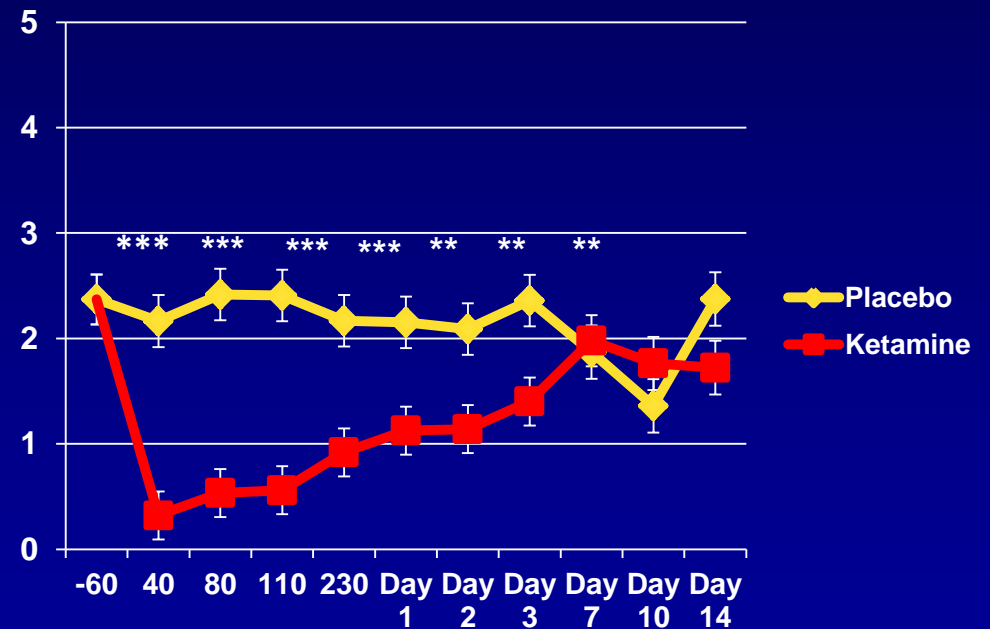
# Rapid decreases in suicidal ideation with ketamine in major depressive disorder AND bipolar depression

## Unipolar Depression



## Bipolar Depression

MADRS: Suicidal Thoughts



DiazGranados et al. J Clin Psych 2010; Zarate et al. Biol Psych 2012

# Next Steps in Ketamine Research/Treatment

- 1 Ketamine in Clinical Practice  
Settings: research/off-label use — — ➤
  - Repeat infusions (pulse treatment—ECT)
  - Slower infusion over 100 min
  - Combination with lithium
  - Combination with standard treatments
  - Combination with ECT
- 2 Develop ketamine-like drugs (without dissociative side effects) — — ➤ More NMDA subunit selective drugs
- 3 Understand ketamine's mechanism of action from synapses to through a range of systems
- 4 Is there more to the story with the “ketamine paradigm”: ketamine's metabolites

# 1. Ketamine in Clinical Practice Settings: Off-label Use

Medical Experts in the Use of Ketamine for the Rapid Treatment of Depression

**Ketamine Infusions, LLC**

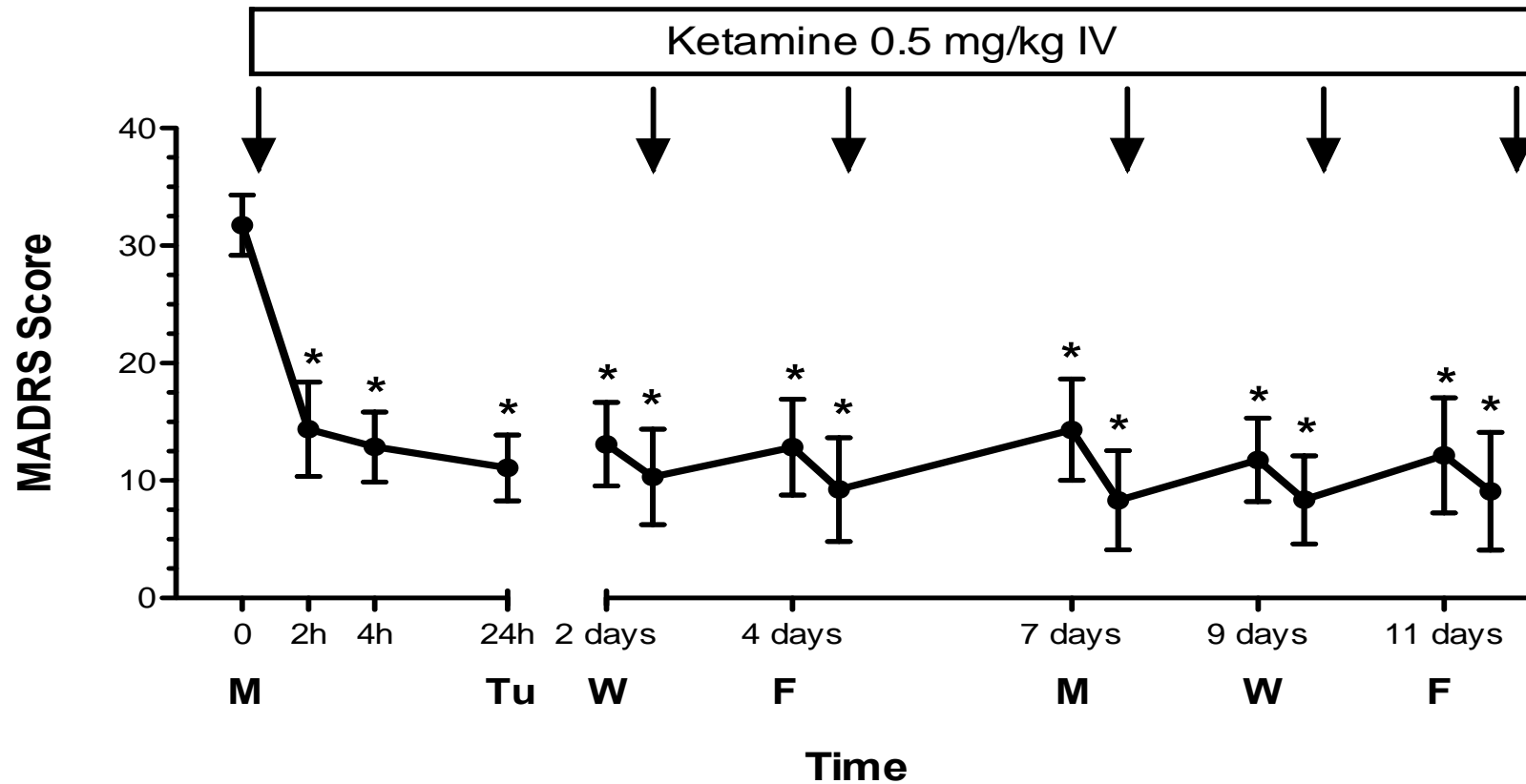
**“Psychiatric team at .....Medical Center has begun offering ketamine infusions to patients who are running out of options.”**

**KETAMINE TREATMENT FOR DEPRESSION**

While most cases of depression can be treated with oral antidepressants, in some cases further action is necessary or immediate relief is required.

**SERVICES**

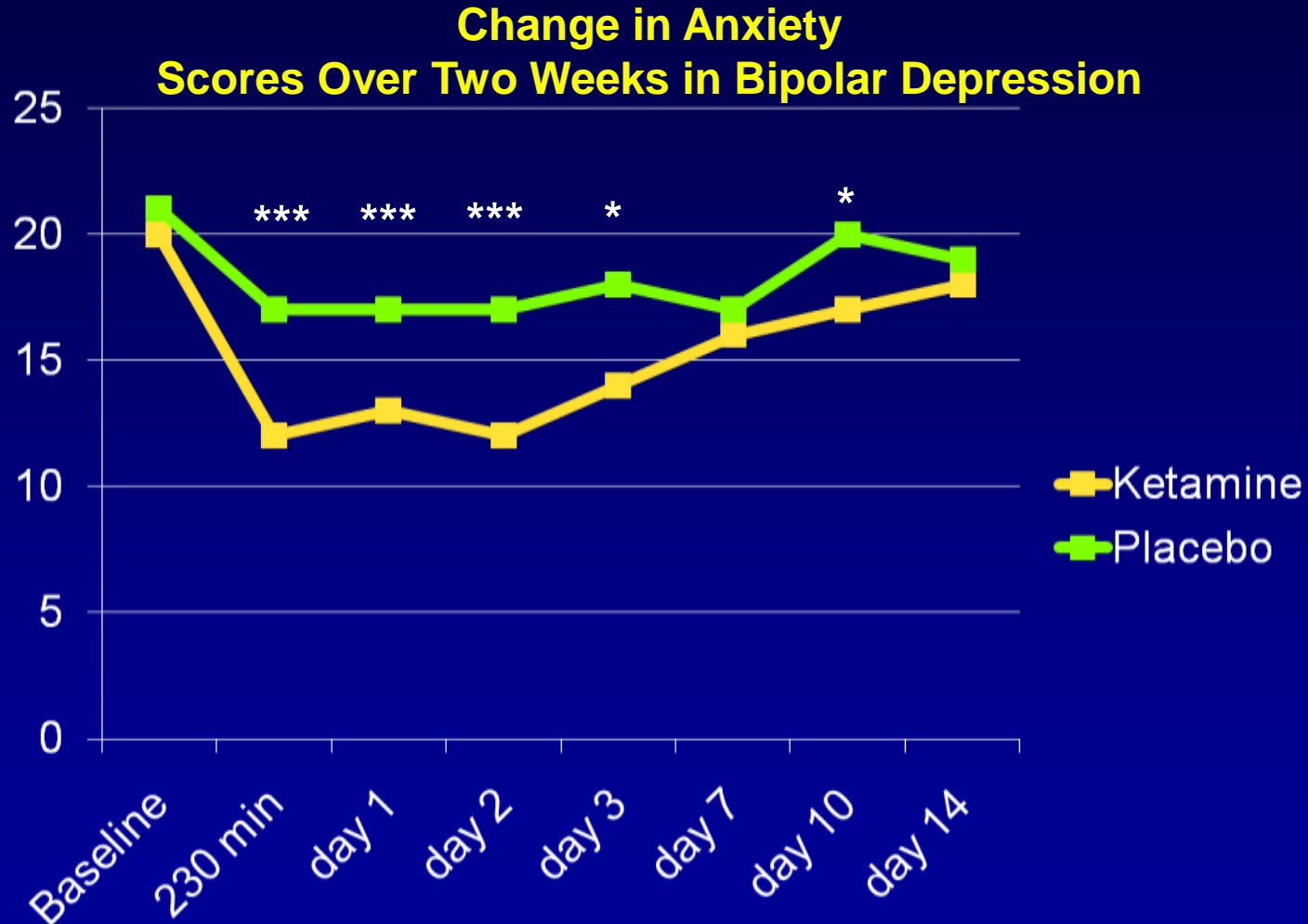
# Repeated Ketamine Infusions in Treatment-Resistant Depression: Pilot Experience



24 patients with TRD enrolled in a course of 6 ketamine infusions on a Monday-Wednesday-Friday schedule over two weeks. P values based on the Related-Samples Wilcoxon Signed Rank Test. Error bars reflect 95% CI. Asterisk indicates time-point significantly different from baseline (p<0.001)



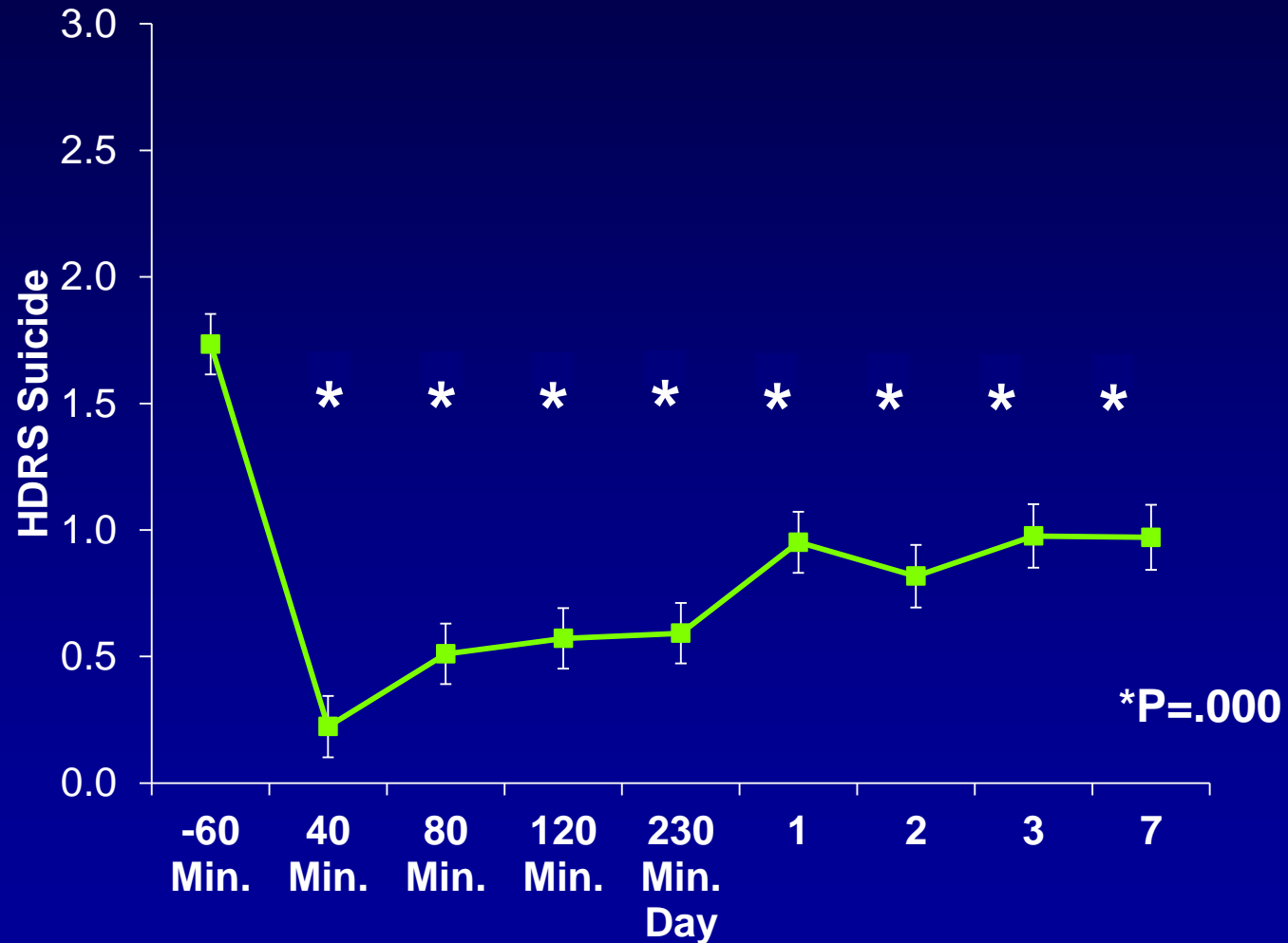
## Clinical Observations from Pooled Studies: Ketamine has Robust Anxiolytic Effects



DiazGranados et al. Arch Gen Psych 2010

# Clinical Observations from Pooled Studies: Ketamine has Robust Antisuicidal Effects

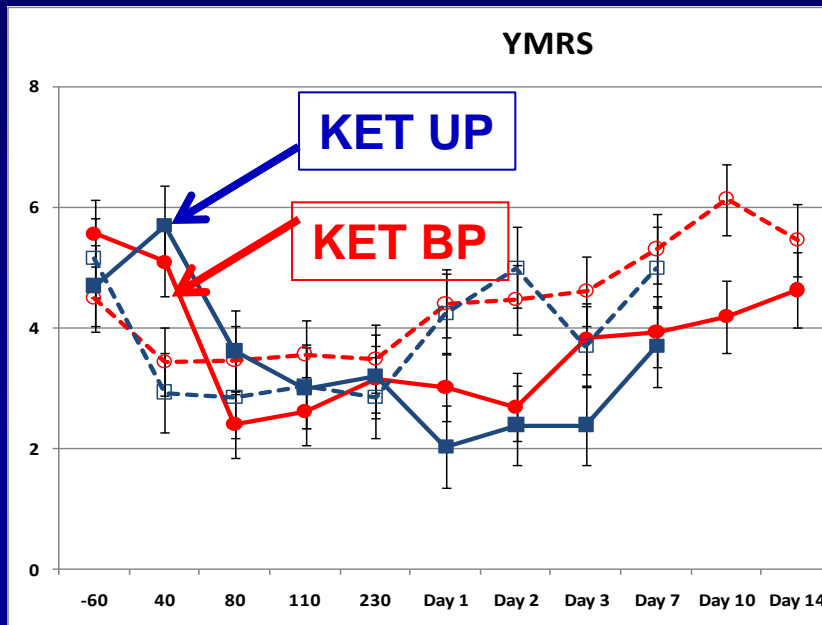
Pooled ketamine studies at NIMH unipolar and bipolar depression (N=111)



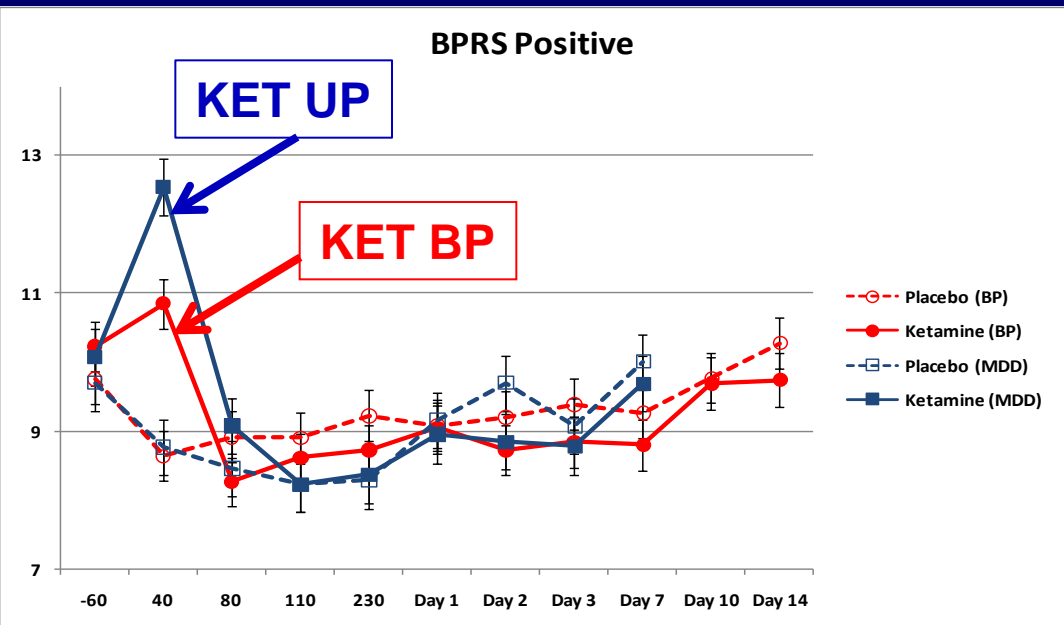
## Clinical Observations from Pooled Studies: Ketamine does not appear to:

- induce affective switch in three independent samples of treatment-resistant depression
  - worsen in PTSD and abuse history with a single dose of ketamine

Change in YMRS scores in MDD and Bipolar depression txt'd with ketamine

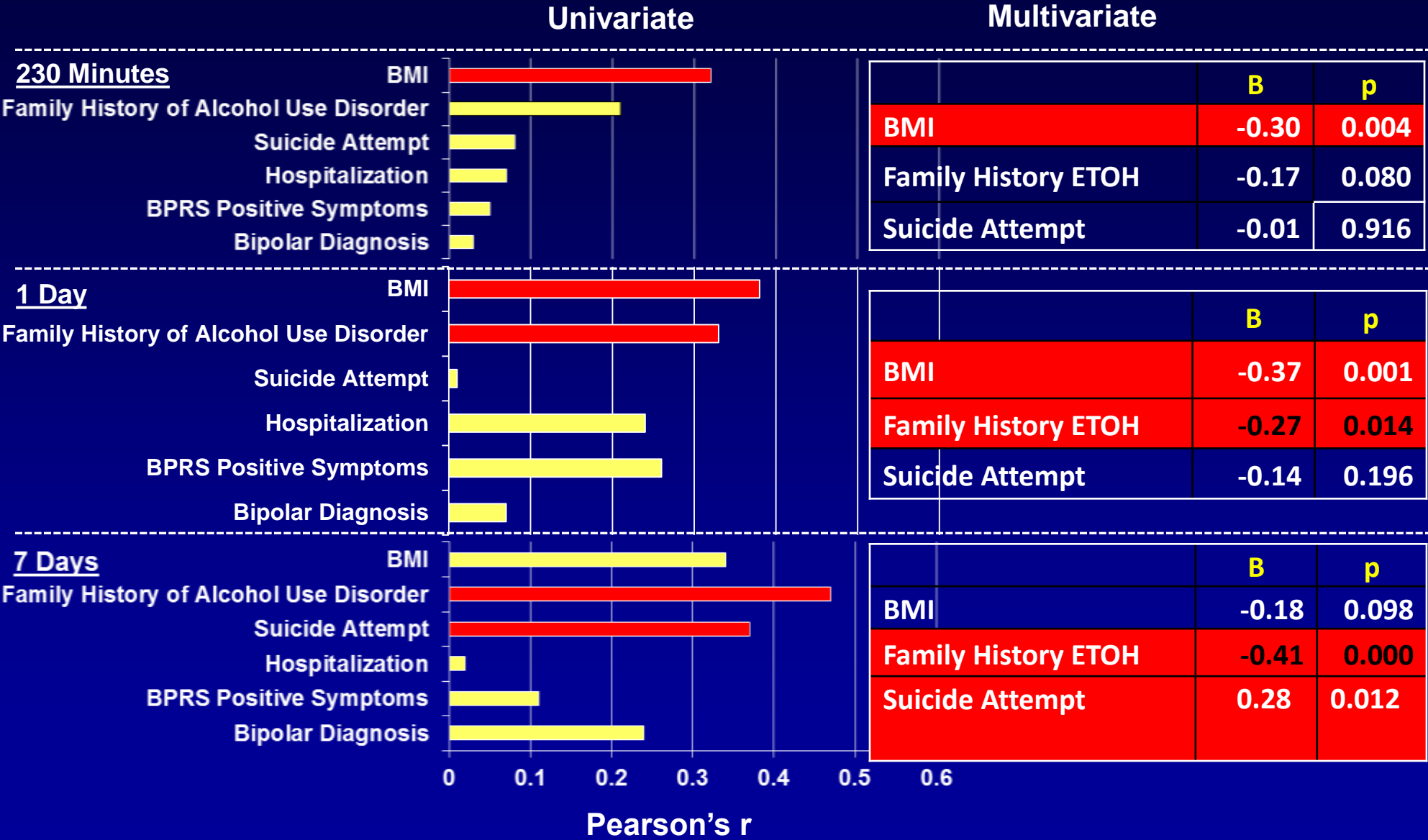


Change in BPRS scores in MDD and Bipolar depression txt'd with ketamine



Diazgranados et al. Arch Gen Psych 2010; Zarate et al. Biol Psych 2012; Zhang et al. Biol Psych 2013; Niciu et al. Biol Psych 2013

# Clinical Observations from Pooled Studies: Baseline Predictors of Response



## 2. Develop ketamine-like drugs (without dissociative side effects)

### Glutamate modulation in TRD: pipeline (Partial List)

Compound (Manufacturer)	Mechanism of Action	Phase	Route	
Esketamine	S-ketamine	I/II	IV, IN	J & J; Completed
MK-0657* (Merck); Cerecor	NR2B Antagonist	IIa	p.o.	Completed; Cerecor new program
CP101,606 (Pfizer)	NR2B antagonist	IIa	IV	+ response; discontinued
mGlu negative allosteric modulators (Roche)	mGlu2 and mGlu5	IIa	p.o.	Ongoing study
AZD6765* (AstraZeneca)	NR2AB Antagonist	IIb	IV	Completed NIMH AZ ongoing
EVT 101 (Evotec) (J &J)	NR2B antagonist	IIa	p.o.	Study halted; Compounds picked up by J&J

# The Optical Isomers of Ketamine



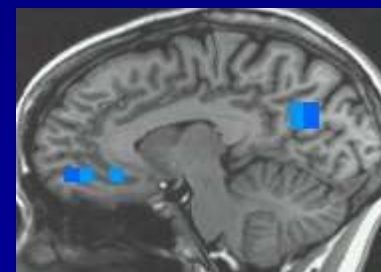
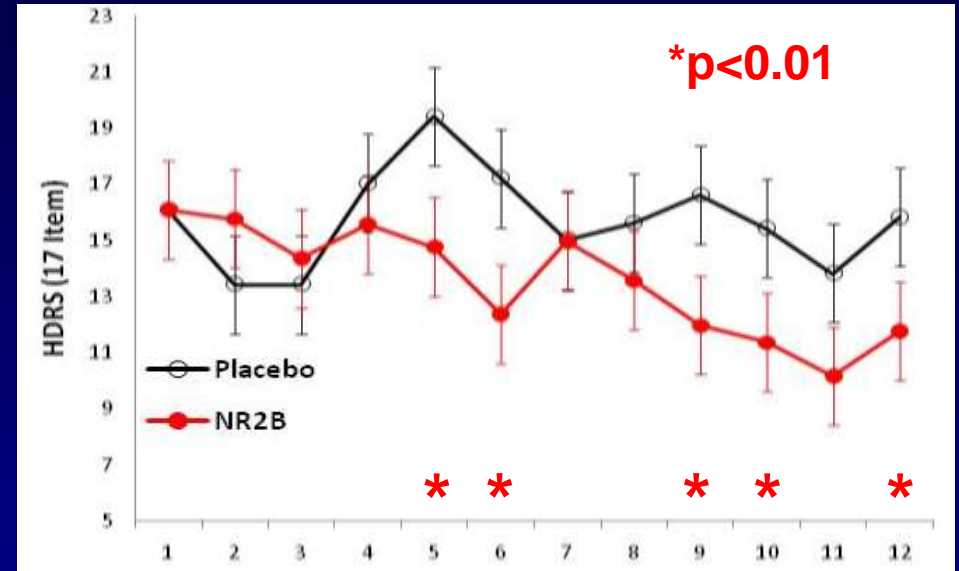
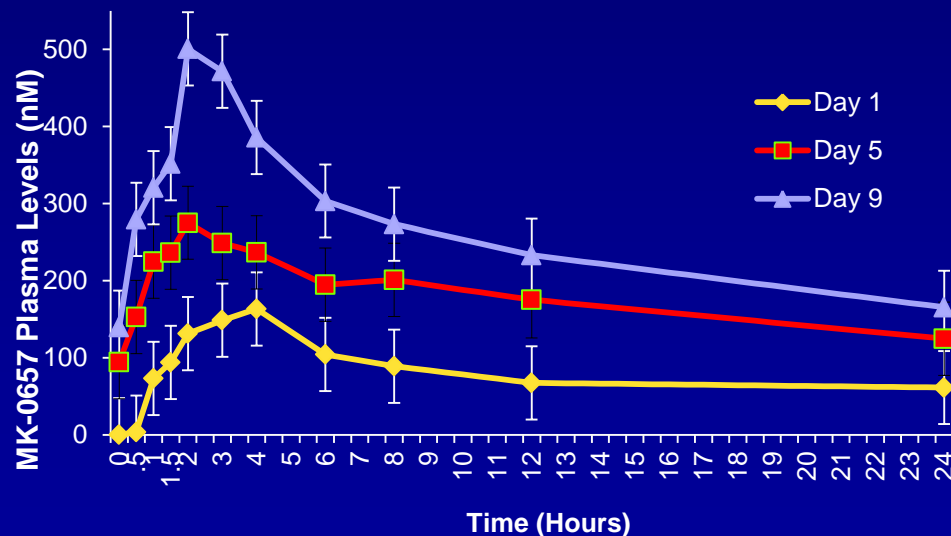
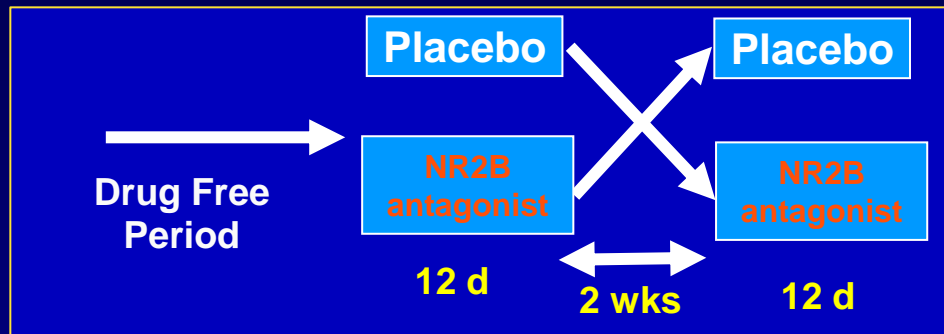
*R*-(-)- ketamine



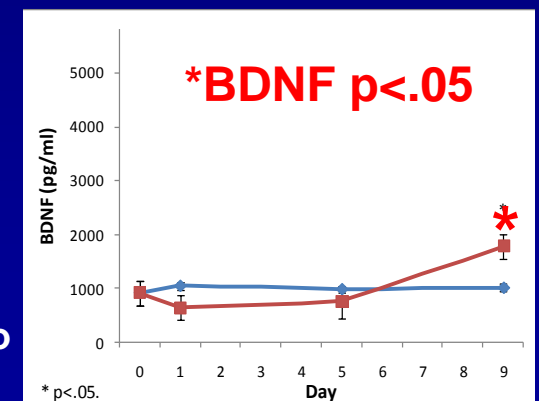
*S*-(+)- ketamine

# Preliminary data on an NR2B antagonist (MK-0657) in major depressive disorder: efficacy, neurotrophic factors (BDNF), and ACC activity

- Oral doses (4-8 mg/day)
- No psychotomimetic effects

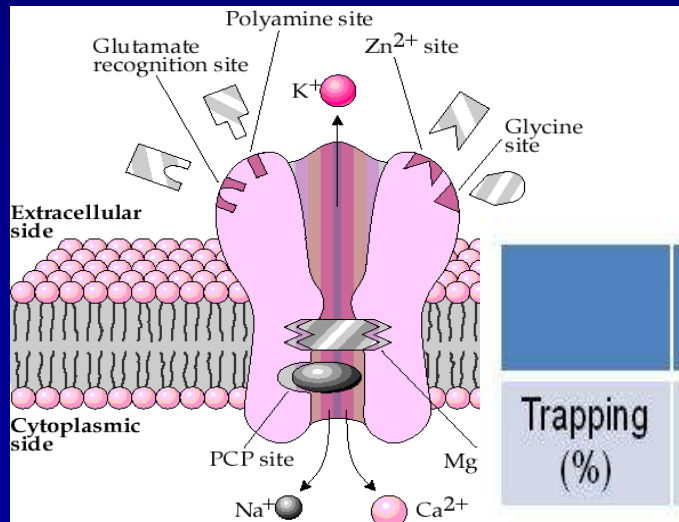


MEG: NR2B vs placebo  
N back



# AZD6765: A low-affinity NMDA channel blocker

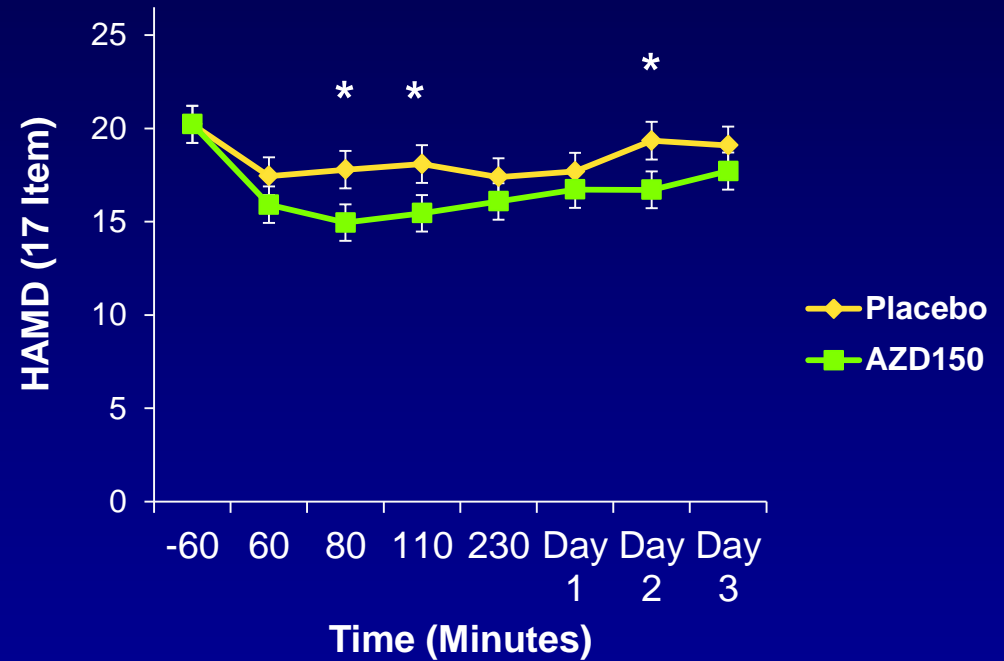
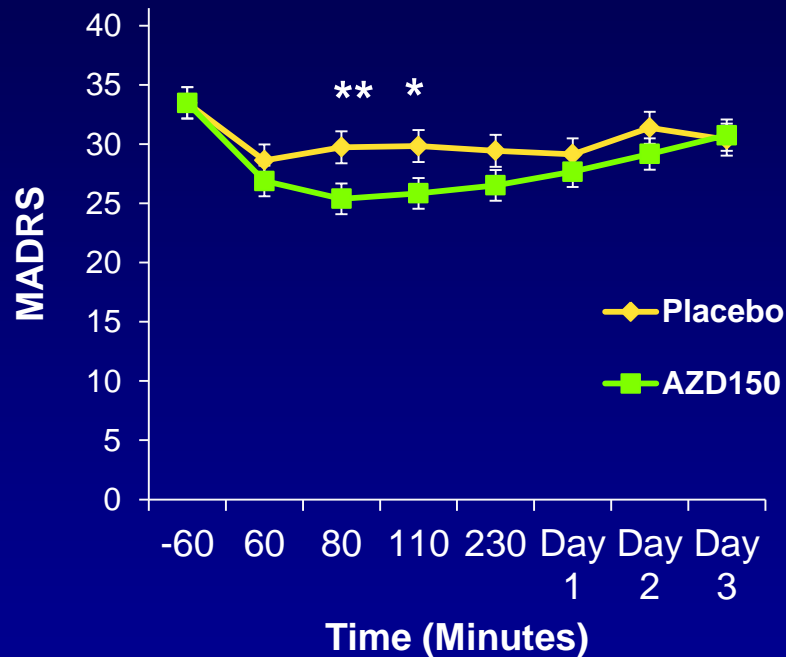
- AZD6765 was developed in Europe as an intravenous txt for stroke but was not further pursued because of a lack of efficacy
- **AZD6765 is a low-trapping NMDA channel blocker, NMDA receptor antagonist. It is blood-brain penetrant**
- AZD6765 well tolerated with dizziness, nausea, and vomiting, being the most common AEs. No psychotomimetic effects up to 160 mg
- **Antidepressant effects in learned helplessness, FST**
- Anxiolytic activity in the rat punished responding model



	Ketamine	MK-801	Memantine	Active Remacemide	AZD6765
Trapping (%)	82%	76%	70%	64%	52-59 %



# A double-blind placebo-controlled study of NMDA antagonist (AZD6765) in treatment-resistant depression (N=22)



**No dissociative, psychotomimetic or euphoric effects**

# 3. Study of ketamine's mechanism of action from synapses through a range of systems



Polysomnography



MRS



PET & MEG



MEG

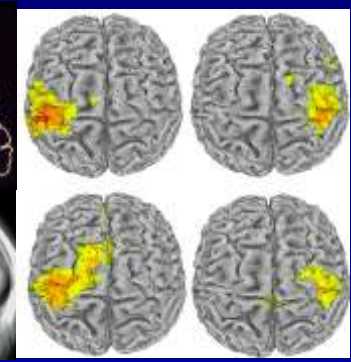
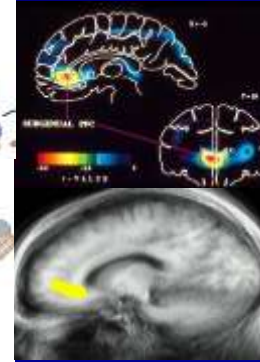
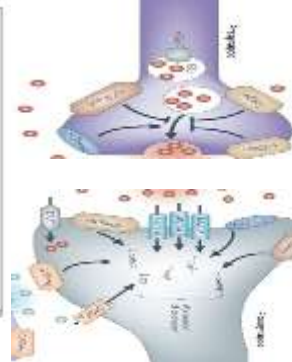
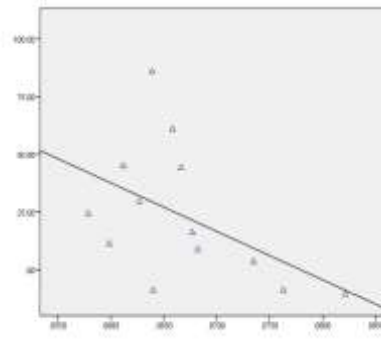
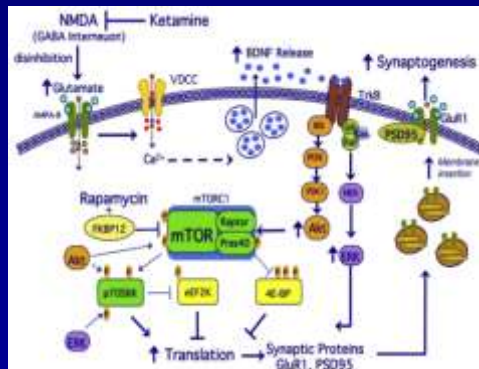


Synaptic Plasticity  
(mTOR, eEF2, GSK-3B inh)

Neurochemicals  
(Glx DM/DA-PFC  
Glx/Glu ratio)

•Glucose changes  
(glutamate signal)  
•Circuits/connectivity

Cortical excitability



Genes

Gene expression

Metabolome

Neurochemical

Cellular

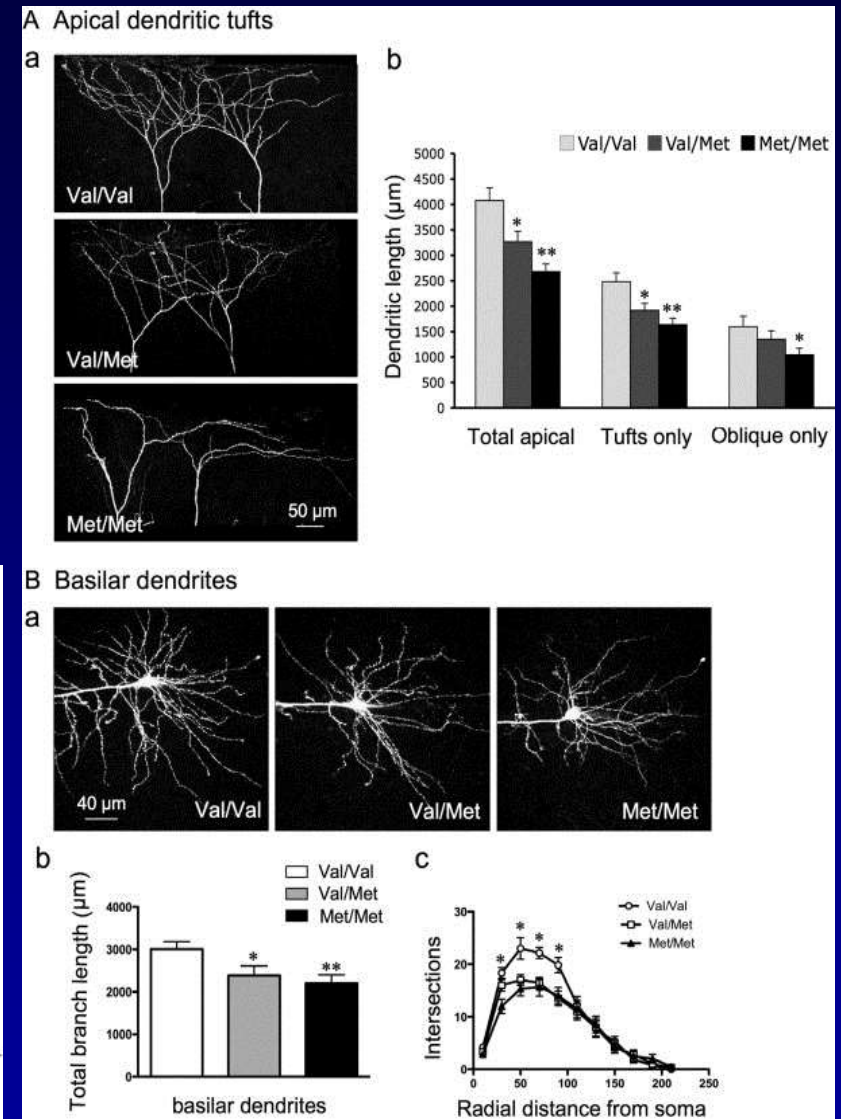
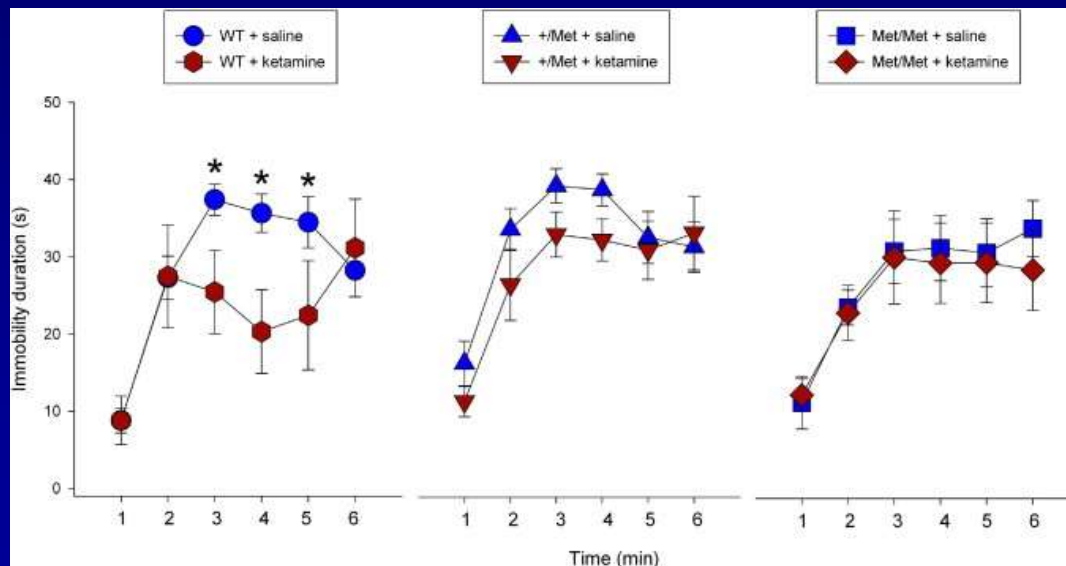
Synapses

Circuits

Rapid reversal of  
complex behavioral  
phenotype

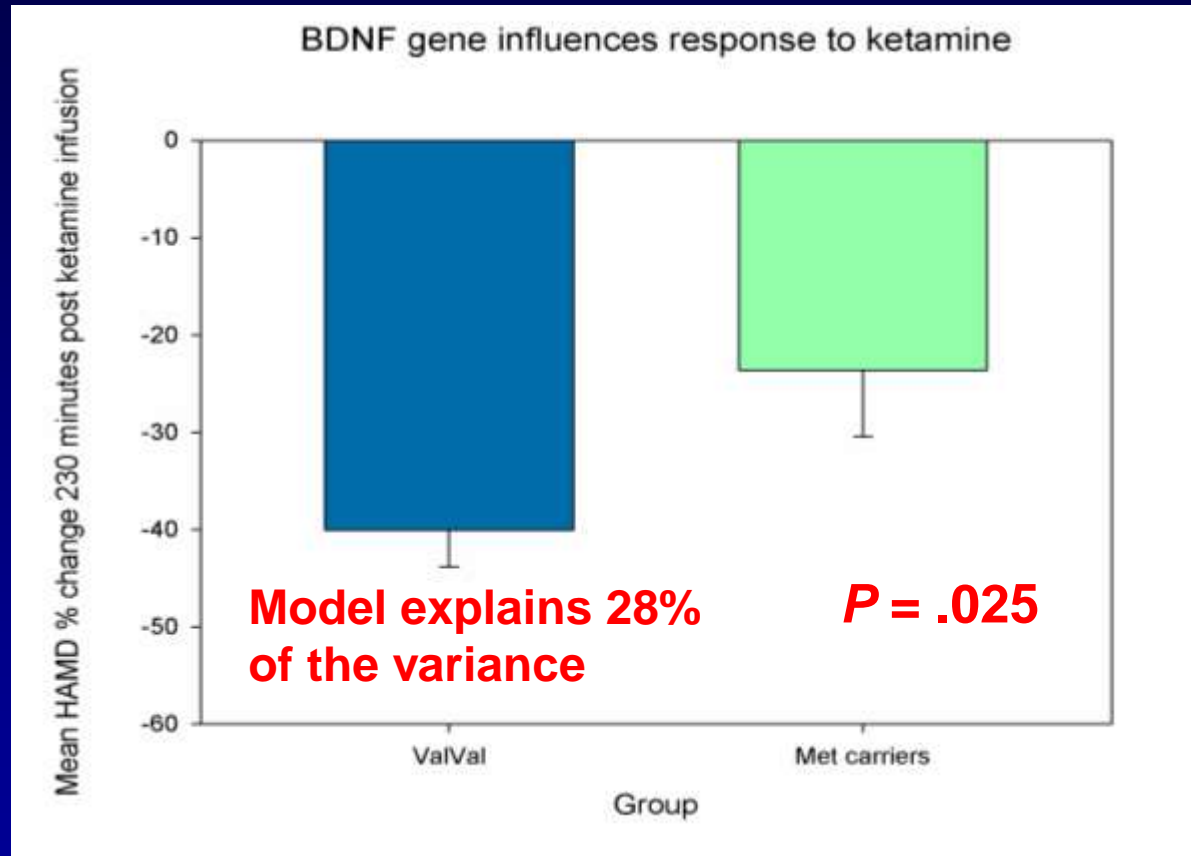
# Medial prefrontal cortex layer V pyramidal cells in brain-derived neurotrophic factor Val66Met knock-in mice have both apical and basilar dendritic atrophy

## Antidepressant response to Ket in the forced swim test is attenuated in brain-derived neurotrophic factor Val66Met knock-in mice



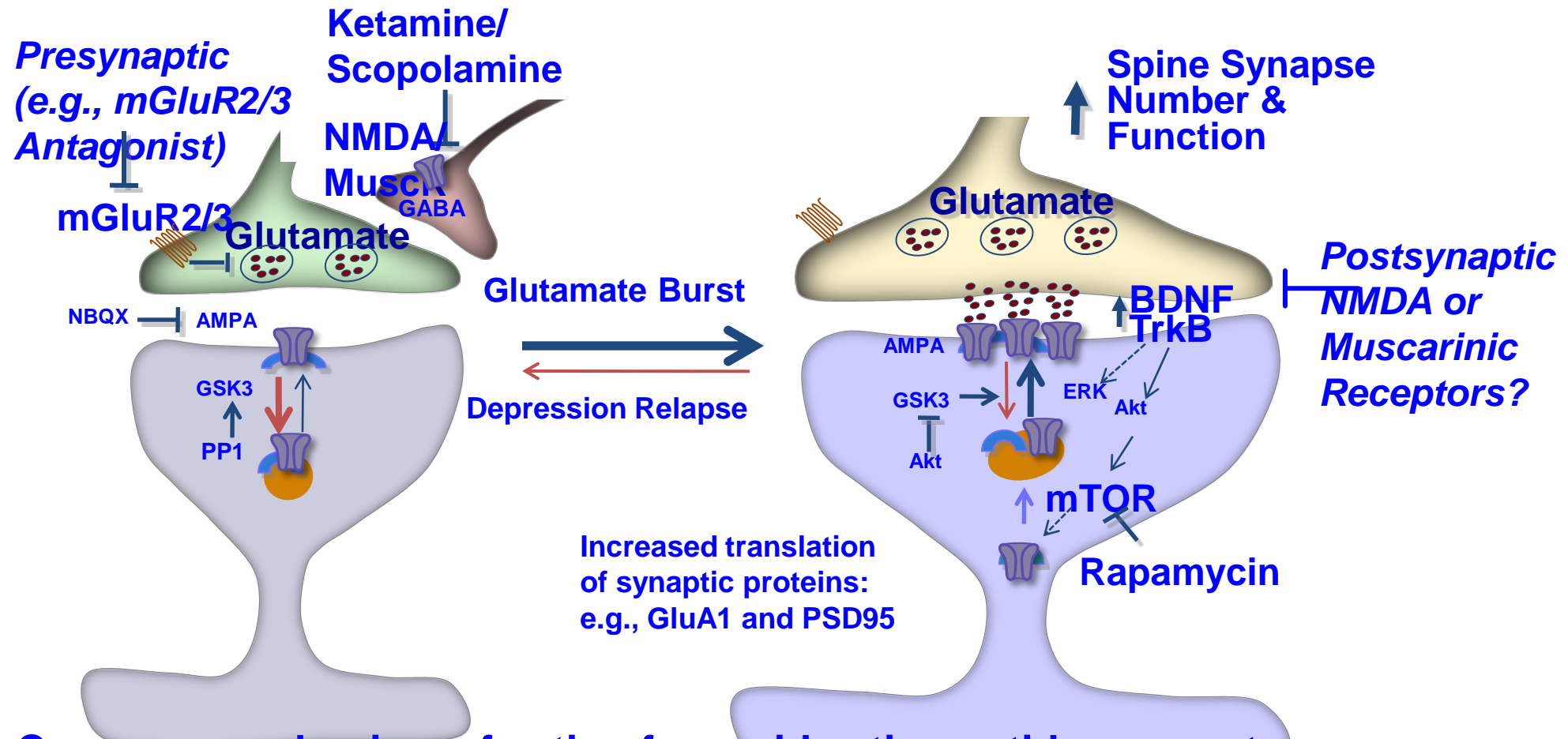
# Genetics: Brain-Derived Neurotrophic Factor Val66Met polymorphism and antidepressant efficacy of ketamine in MD

- 62 patients (NIMH, Yale) with BDNF SNP data and HAMD score at 230 minutes post ketamine infusion
- 41 ValVal, 19 MetVal, 2 MetMet



Laje, Lally, McMahon et al. Biol Psych 2013

**Rapid acting antidepressants: NMDA and muscarinic-antagonists increase synaptogenesis: SYNAPTIC STABILIZATION TO MOOD STABILIZATION**



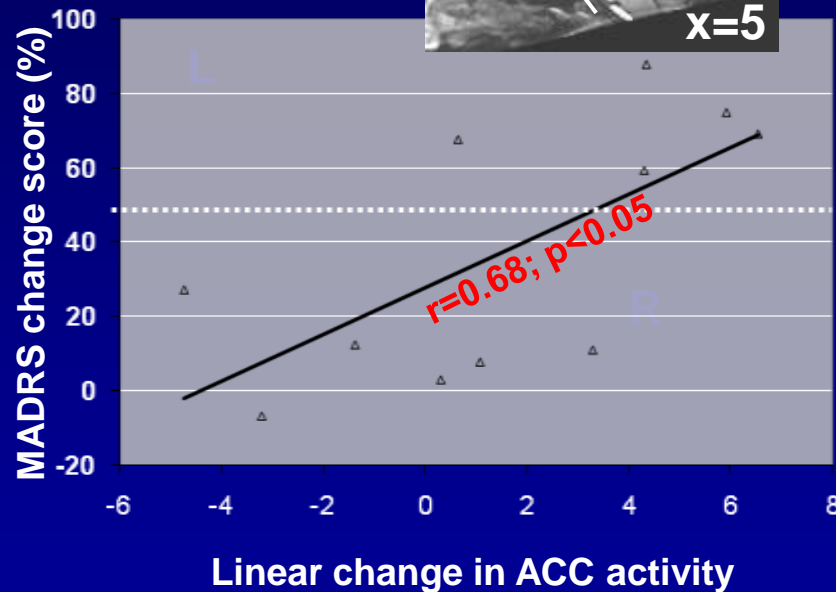
- Common mechanism of action for rapid acting antidepressants
- Rapid reversal of the Synaptic Loss Caused by Stress and Depression

## Experiment 1 (affective task): rostral ACC activity is positively correlated with AD response to ketamine

## Experiment 2 (a cognitive task): rostral ACC activity is negatively correlated with AD response to ketamine



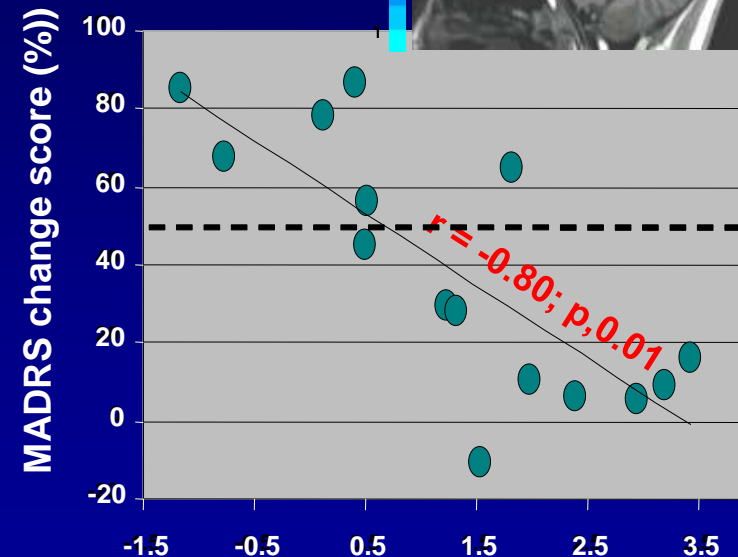
Rostral ACC  
pretreatment  
activity (Emotion)



Salvadore et al., Biol Psychiatry 2010



Rostral ACC  
pretreatment  
activity (Cognition)



Salvadore et al. Neuropsychopharmacology 2010





# MEG: A sensory cortical signature of ketamine's rapid antidepressant effects



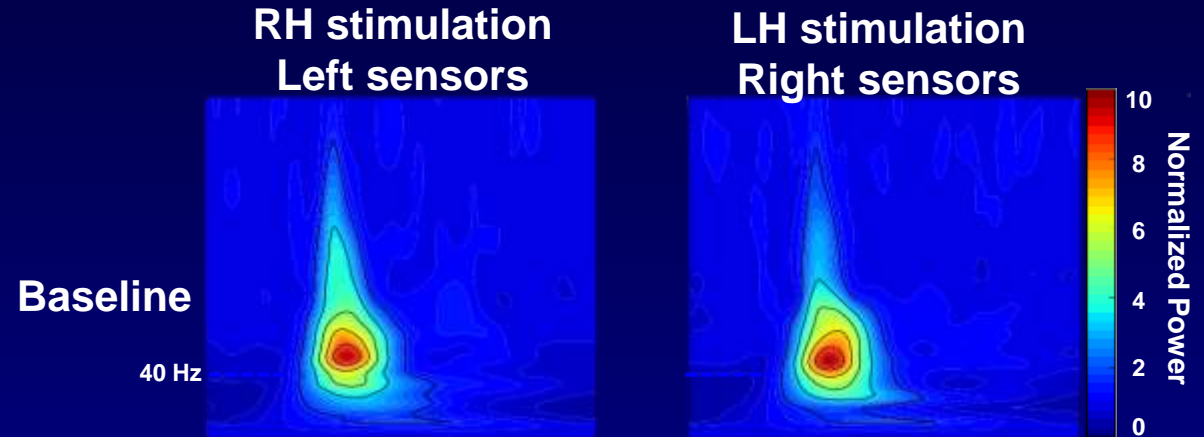
Somatosensory stimuli

■ Gamma rhythms are involved in many aspects of cognitive function from 1• sensory representation through selective attention and short-term memory.

■ They possess the ability to facilitate synchrony of neuronal activity occurring in many, anatomically distant areas at the same time.

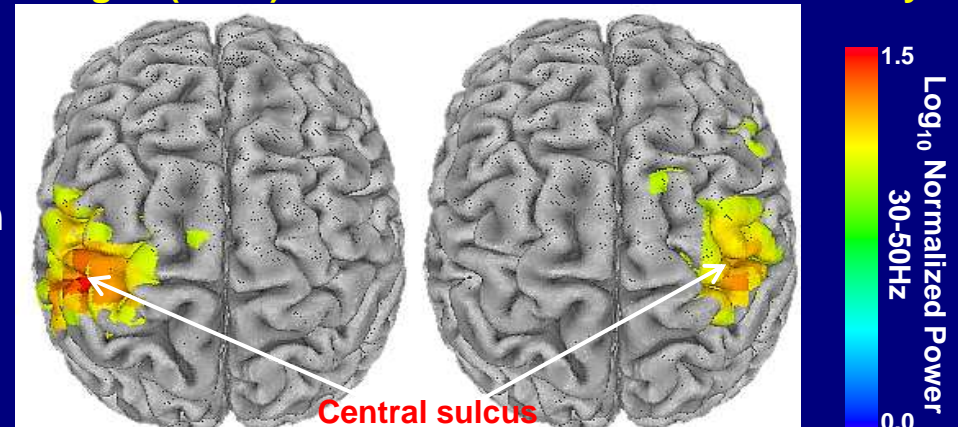
■ Using ketamine in rodents produces an increase in gamma rhythm generation (frontoparietal, hippocampus).

## MEG: Grand Averaged (N=20) Time-frequency plots

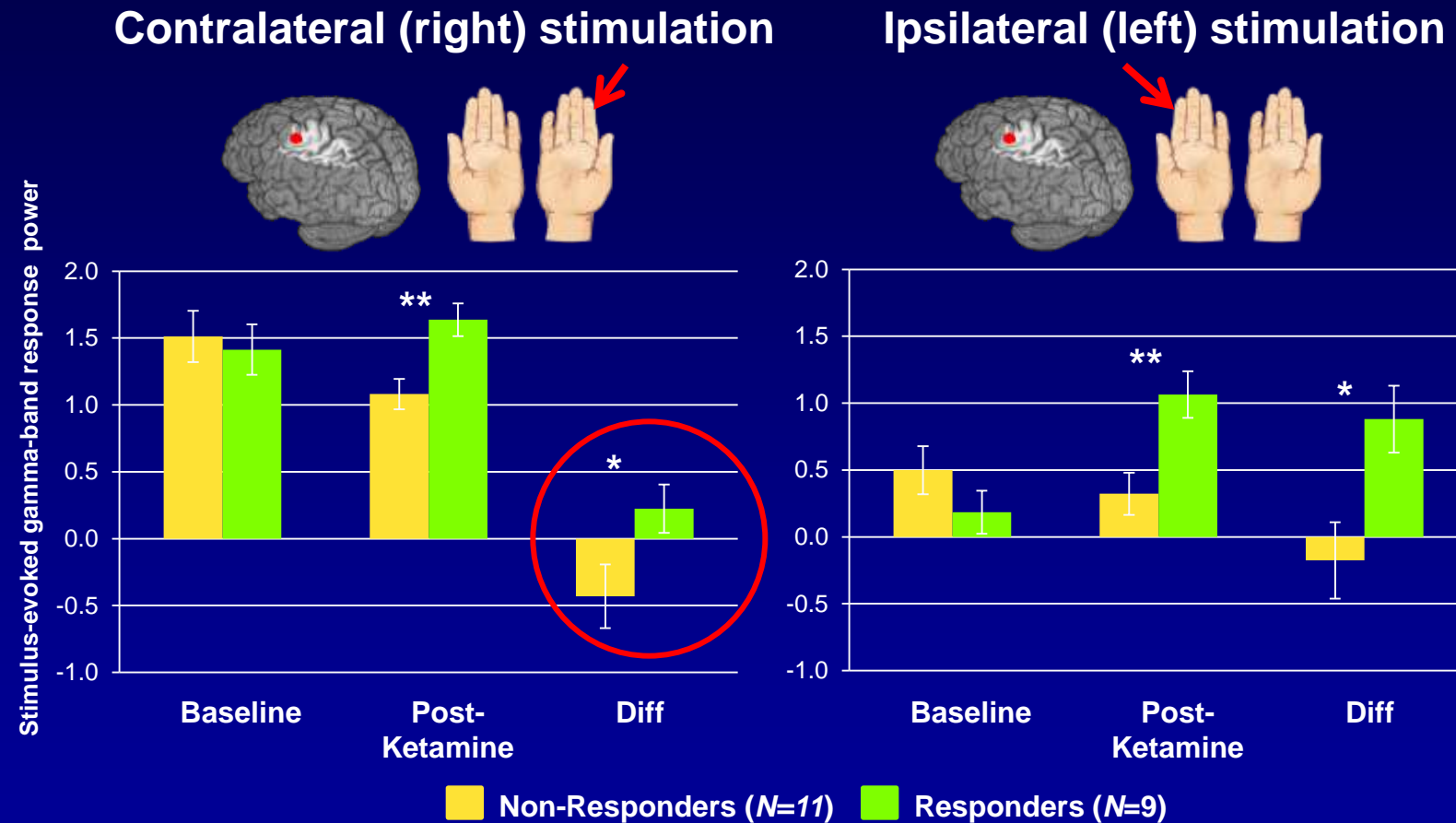


## Grand Averaged (N=20) Evoked Gamma-Band Source Analyses

Baseline stimulation



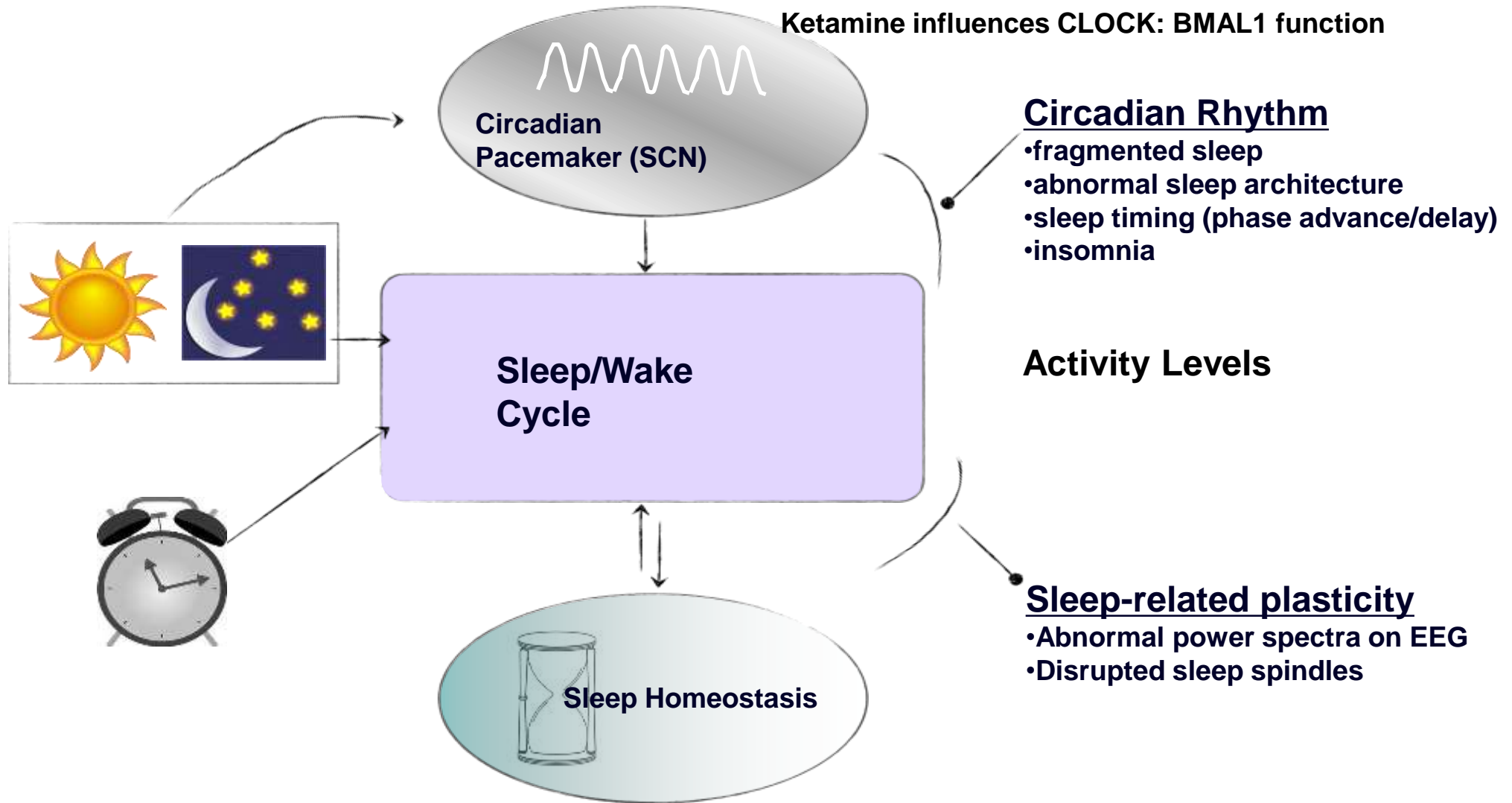
# Mean primary somatosensory cortical evoked gamma-band (30-50 Hz) response to tactile stimulation for non-responders and responders before (pre) and 5-7 h after ketamine administration (POST)



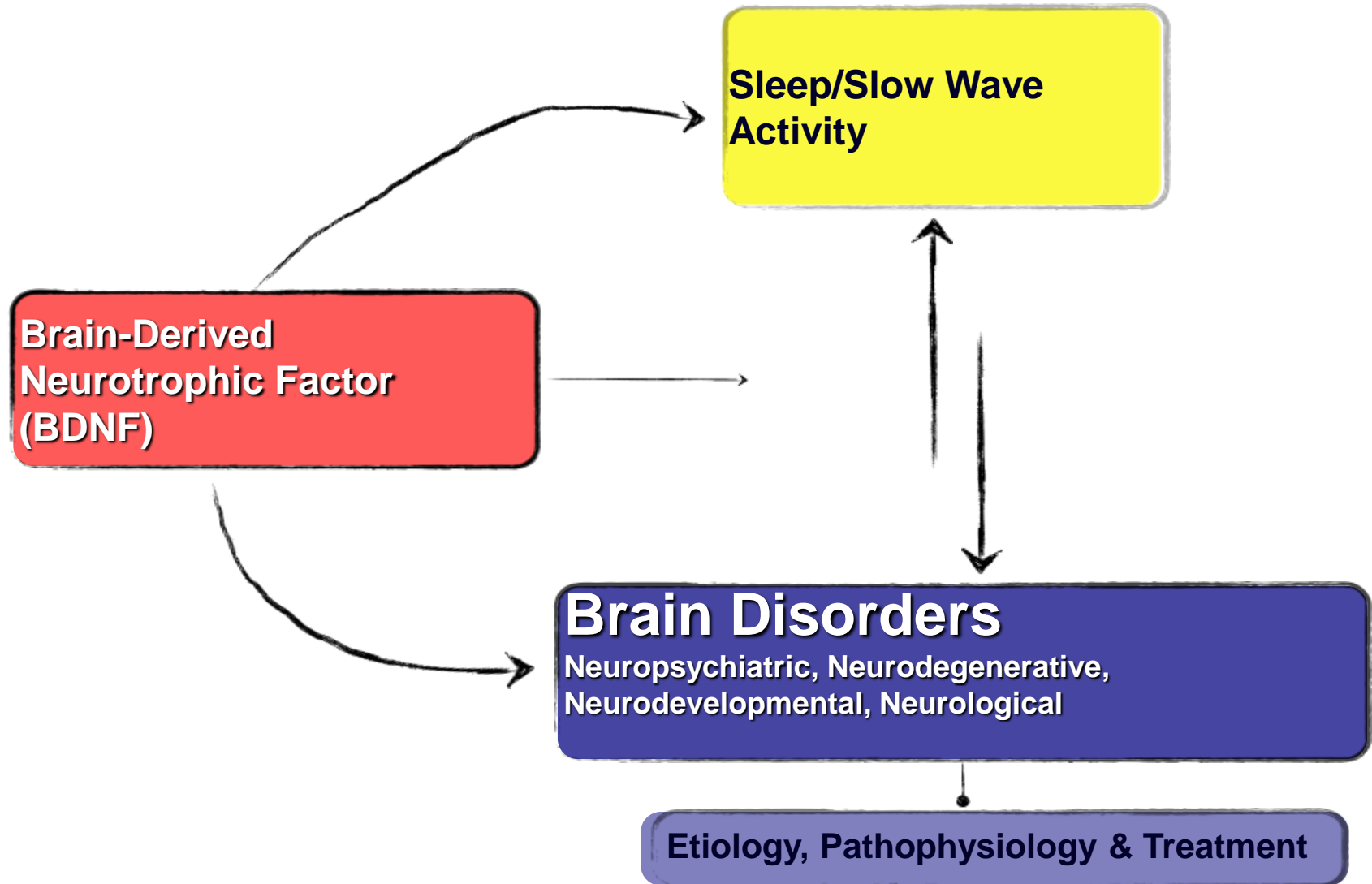
\* $p < .05$   
\*\* $p < .01$



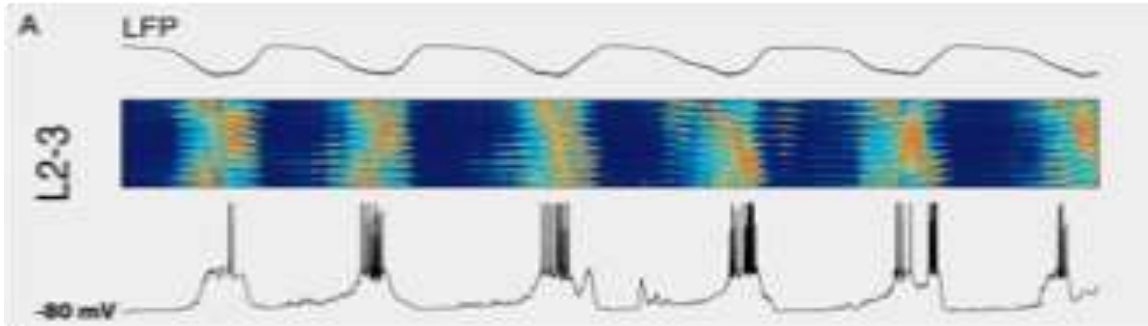
# Ketamine, Sleep, and Depression



**The synaptic homeostasis hypothesis:  
slow wave activity (SWA) as a marker of synaptic potentiation**

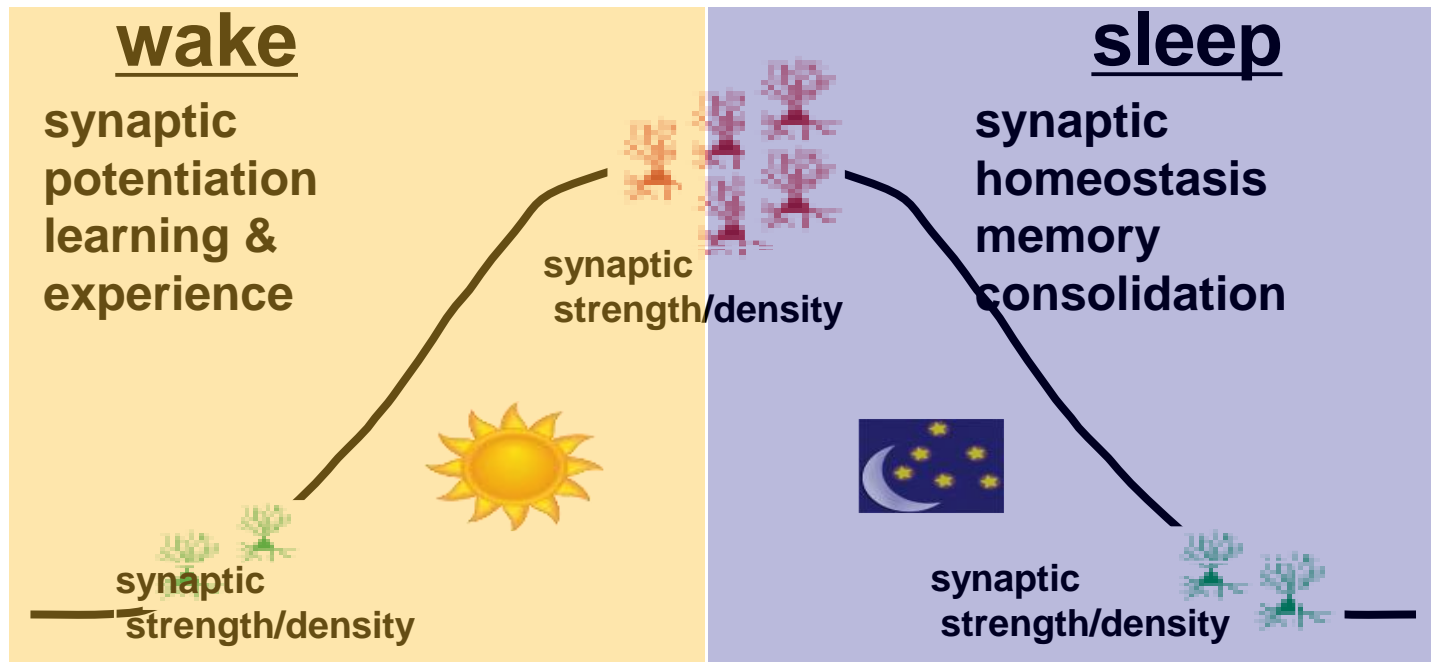


## Slow wave oscillations



Hill S, Tononi G J Neurophysiol 2005;93:1671-1698

- cortically generated 0.5-4.5 Hz oscillation
- power represents synchronization across circuits
- reflect synaptic density, strength, efficacy



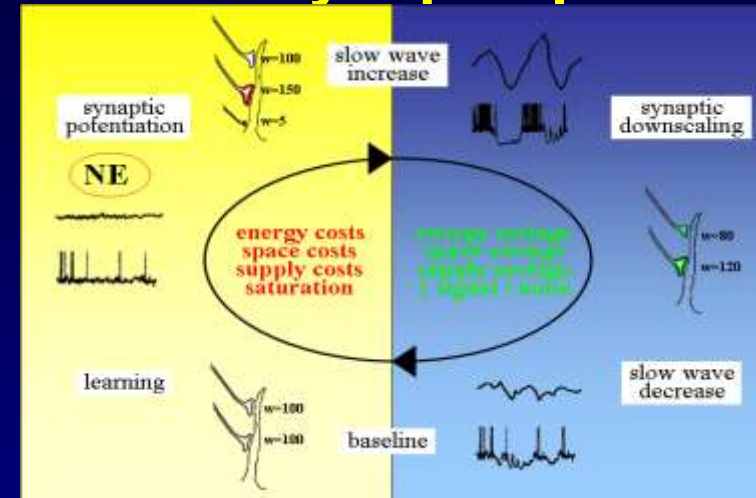
# The synaptic homeostasis hypothesis: slow wave activity (SWA) as a marker of synaptic potentiation

## Animal studies

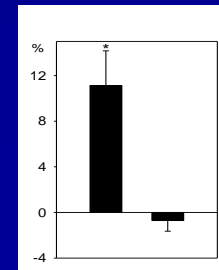
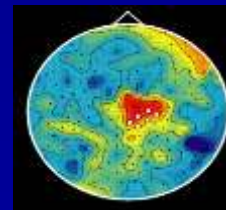
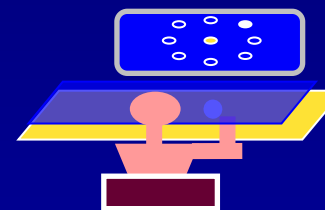
- Exploratory behaviors increase the amount of SWA and the expression of plasticity-related genes, ***BDNF***, *Arc*, *Homer* (Huber et al., 2007)
- Whisker stimulation elicits a greater increase in EEG SWA during non-REM sleep in the stimulated hemisphere than in the control hemisphere (Vyazovskiy et al., 2004).

## Human studies

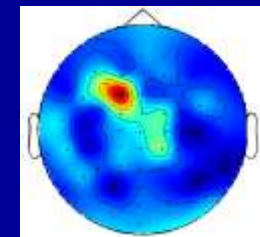
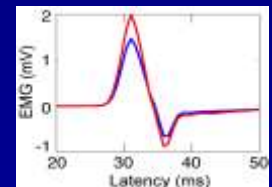
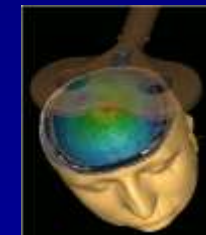
- The amount of SWA is locally increased after learning a visuomotor task (Huber et al., 2004) {lower right panel}
- After rTMS, the amount of SWA increases in the area stimulated
- Conversely, amount of SWA is locally decreased after arm immobilization (Huber et al., 2006)



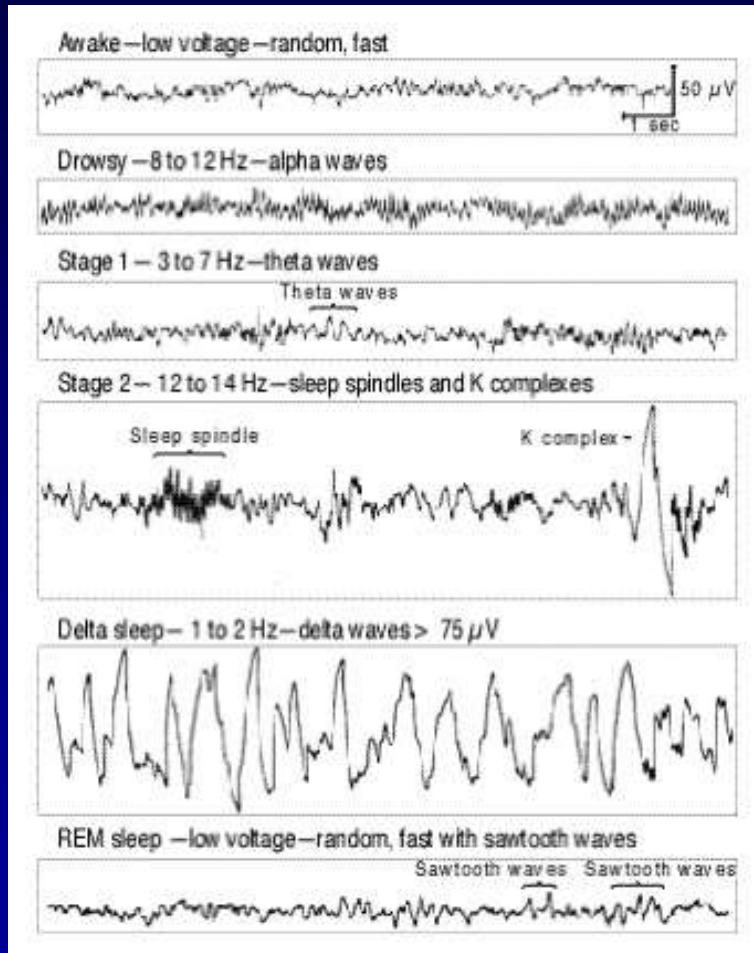
## Visuomotor Task: Local SWA Increase



## rTMS: LTP and Local SWA Increase

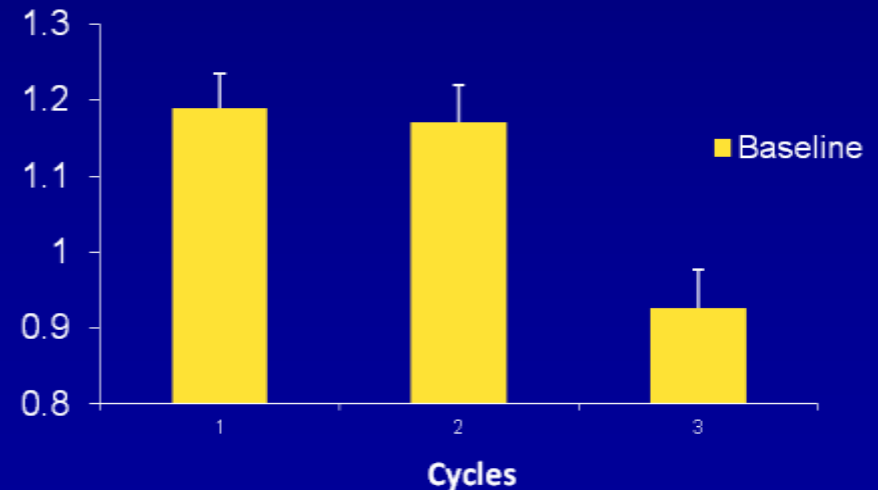


# PSG: Ketamine affects SWA

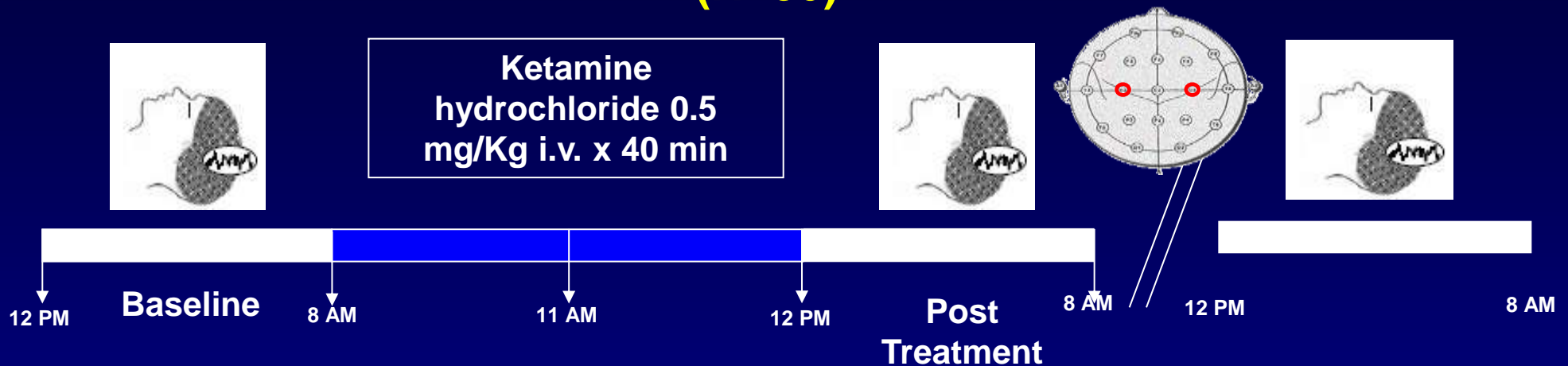


- **Slow wave activity (SWA) as a marker of synaptic potentiation**
- Ketamine injections in rat PFC are associated with increases in synaptic strength (Li et al., 2010)
- **Injections of ketamine in rats increase SWA during NREM sleep**
- SWA could be a marker for synaptic plasticity which is a potential mechanism for ketamine's antidepressant effect

SWA Overnight Timecourse



# PSG: Experimental Procedures SWA & BDNF : Acute Changes (N=30)

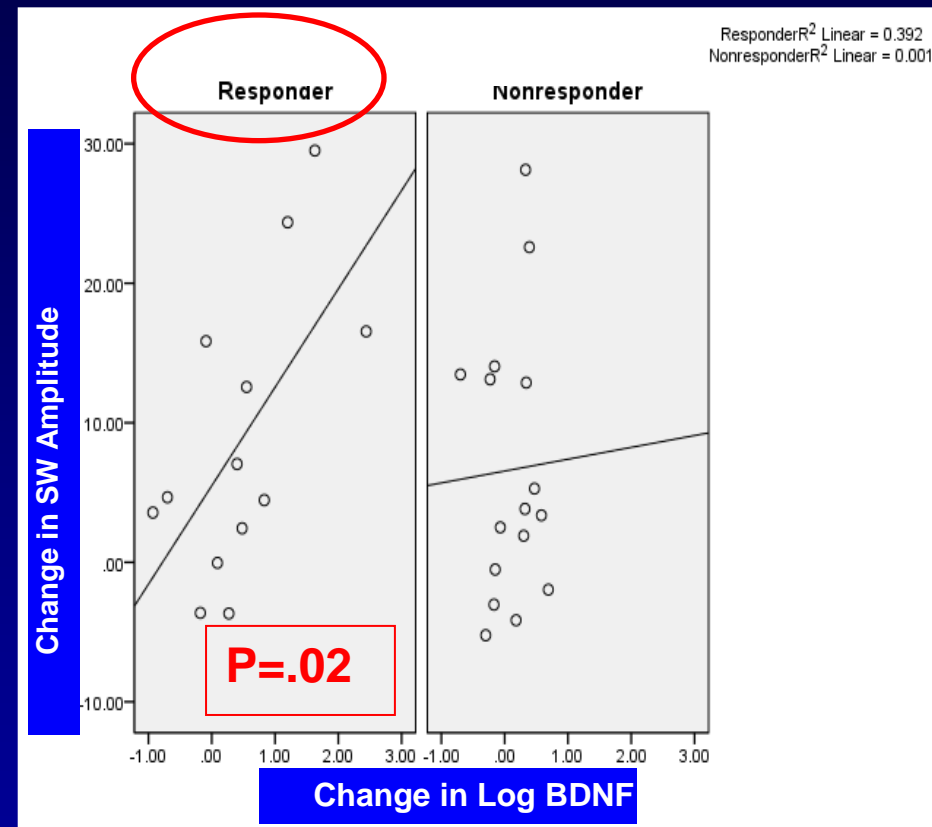
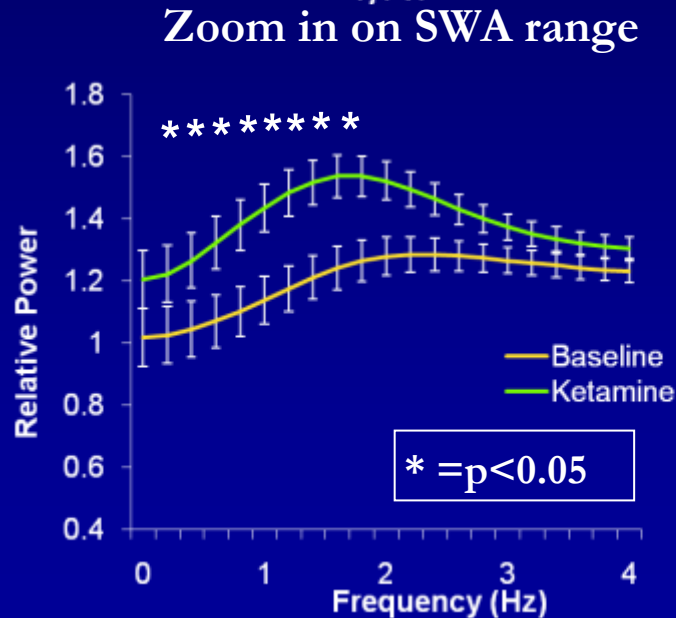
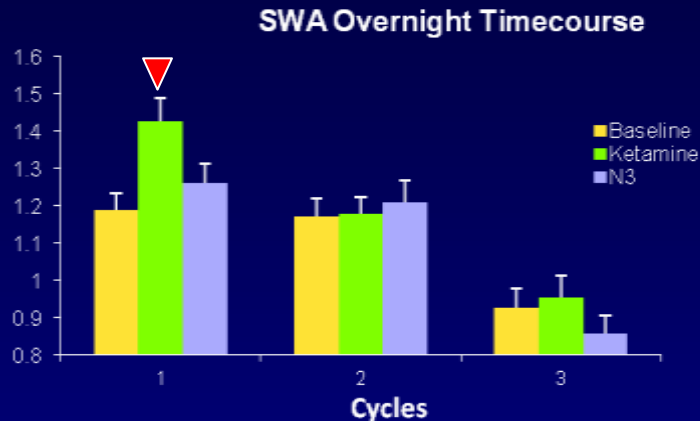


- 2 Sleep recordings (Baseline/Post Treatment night)
- 4 mood ratings (MADRS) and sample collection:
  - 1 hour Pre infusion (BDNF, VEGF plasma)
  - 4 hours Post infusion (BDNF, VEGF plasma)
  - After a night of sleep in the morning (Day 1)
  - After a second night of sleep in the morning (Day 2)

## SWA analysis:

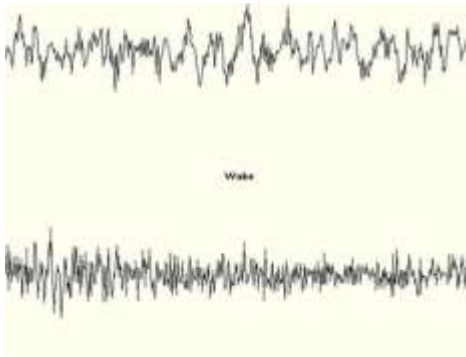
- SWA (0.6-4 Hz) calculated as FFT averages over consecutive 5 sec epochs
- **Average of the 2 channels**

# Ketamine increases slow wave amplitude (N=30) ketamine responder versus non responder differences

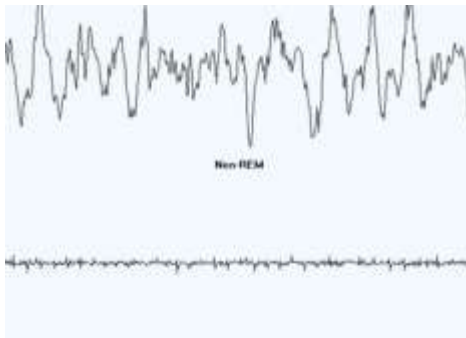




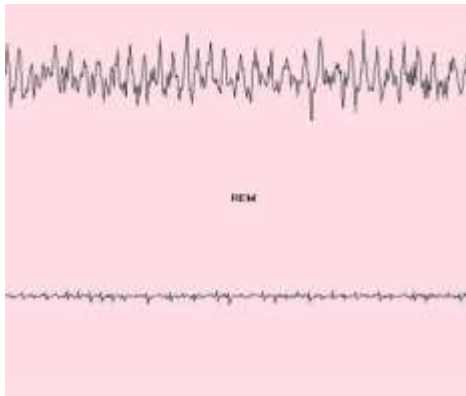
Wake: EEG (upper), EMG (lower)



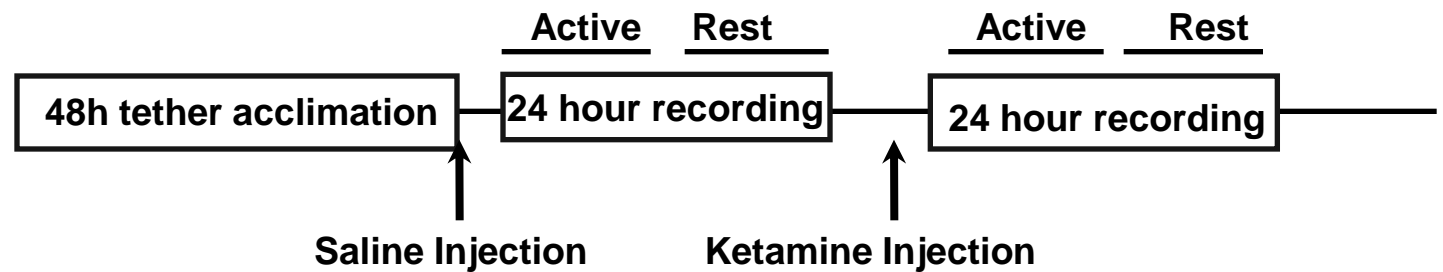
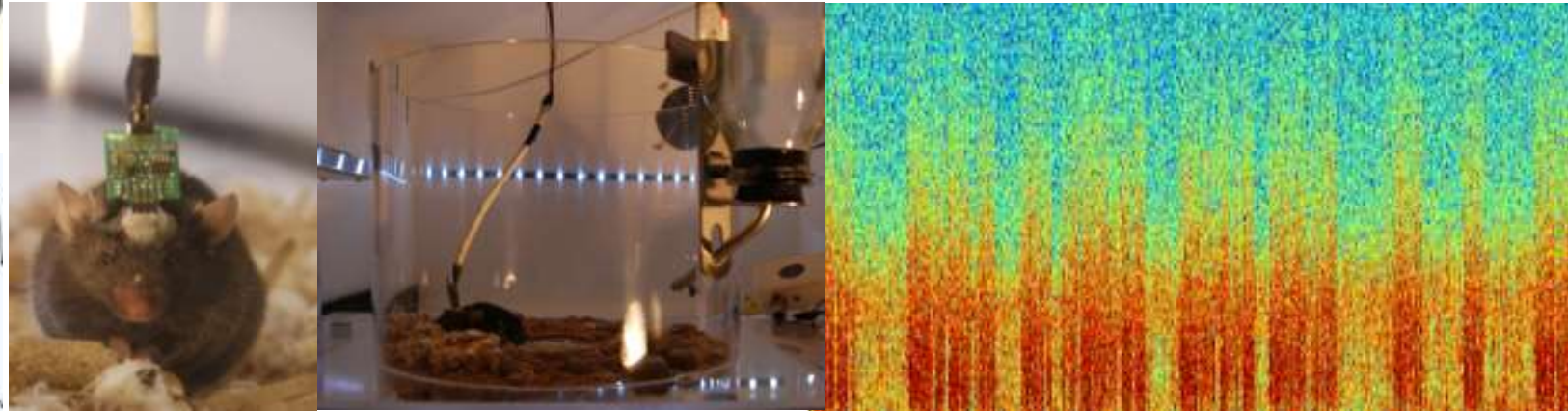
SWA: EEG (upper), EMG (lower)



REM: EEG (upper), EMG (lower)



# Recording sleep EEG in rodents



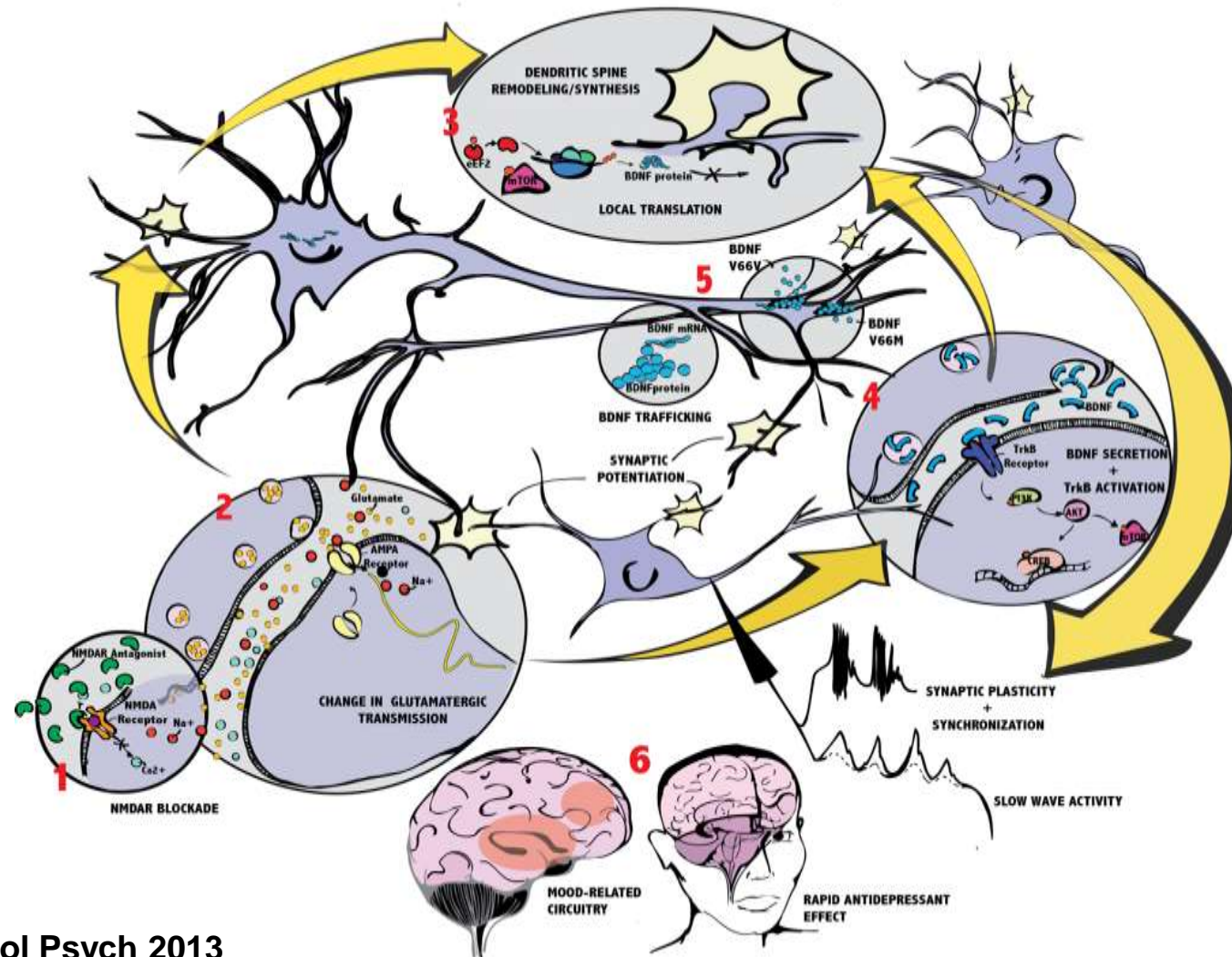
Keri Martinowich  
2013 NARSAD Young Investigator Grant



**Schematic model of acute and subchronic (Day 1 and Day2) ketamine-induced changes in mood, molecular, sleep and slow-wave variables in MDD w treatment resistant depression. Note the parallel change of BDNF and EEG slow wave measures on Day 1 and 2, as well as the parallel change of mood and sleep measures on Day 1 and 2**

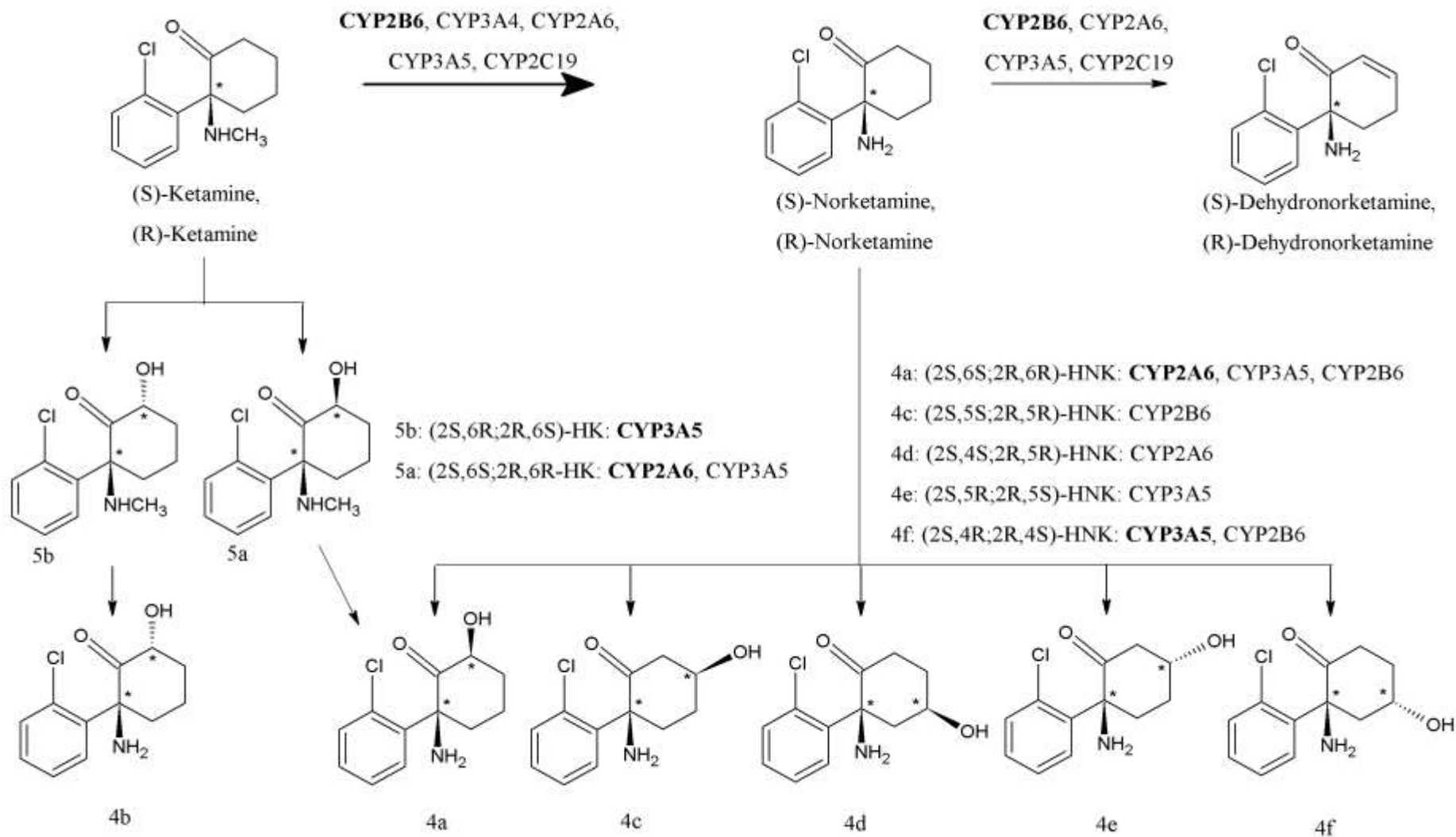
VARIABLE	BASELINE	Day 1 (<24 h)	Day 2 (≥24 h)
Mood	↑MADRS	↓MADRS	↓MADRS
Cellular/Molecular	↓Spine density	↑Spine density	↑Spine density
Neurotrophic	↓BDNF	↑BDNF	↓BDNF*
Sleep	↓Total Sleep, ↑Wake	↑Total Sleep, ↓Wake	↑Total Sleep, ↓Wake
EEG Slow Wave Measures	↓SWA, ↓Amplitude, ↓Slope	↑SWA, ↑Amplitude, ↑Slope	↓SWA, ↓Amplitude, ↓Slope

# rAD Effects of Ketamine: BDNF/Synchronization Hypothesis



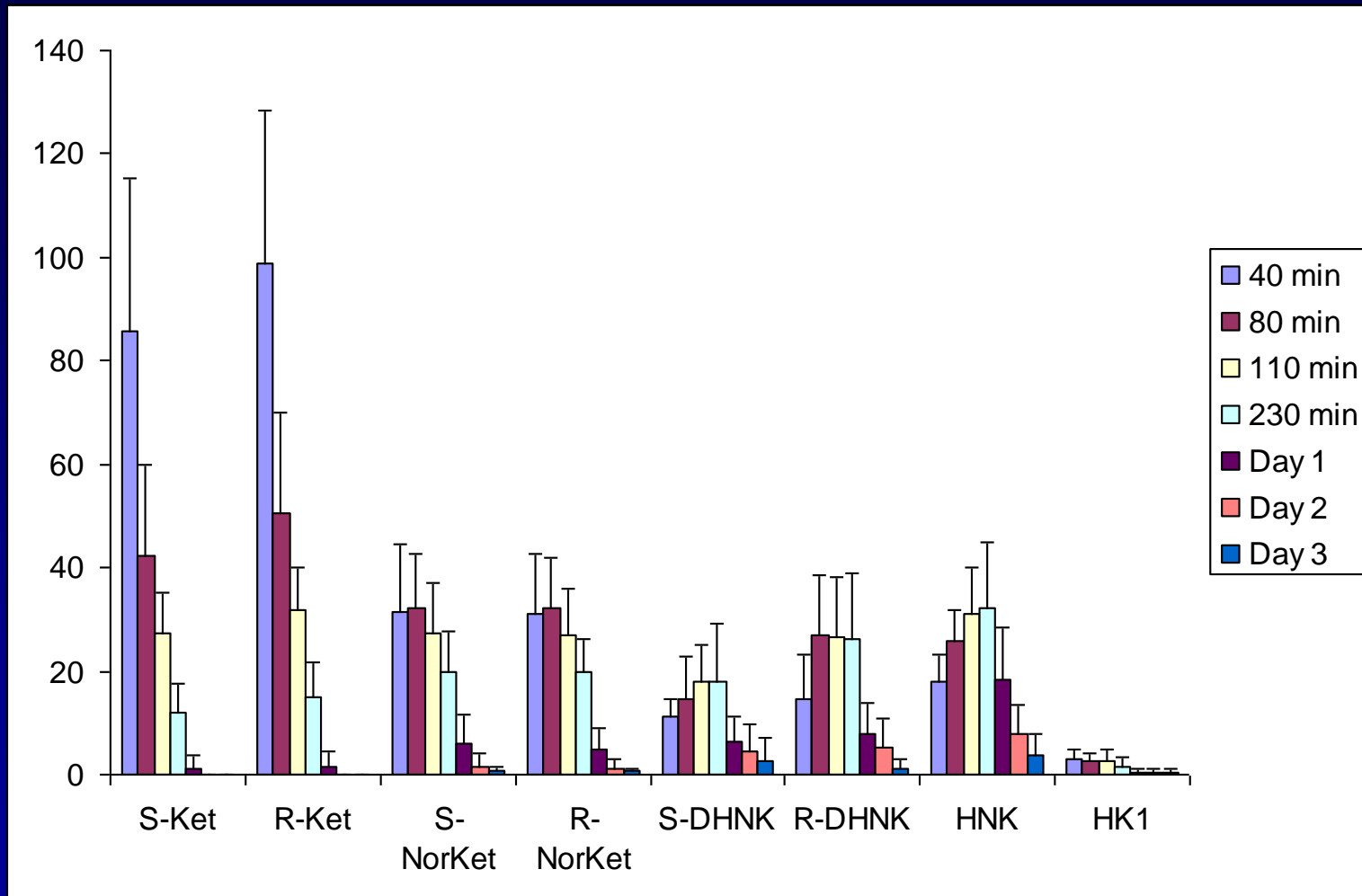
## 4. Is there more to the story with the “ketamine paradigm”: Ketamine’s metabolites

(R,S)-Ket is extensively metabolized by cytochrome P450 enzymes



4b: (2S,6R;2R,6S)-HNK: CYP3A5, CYP2A6, CYP2C19, CYP2B6

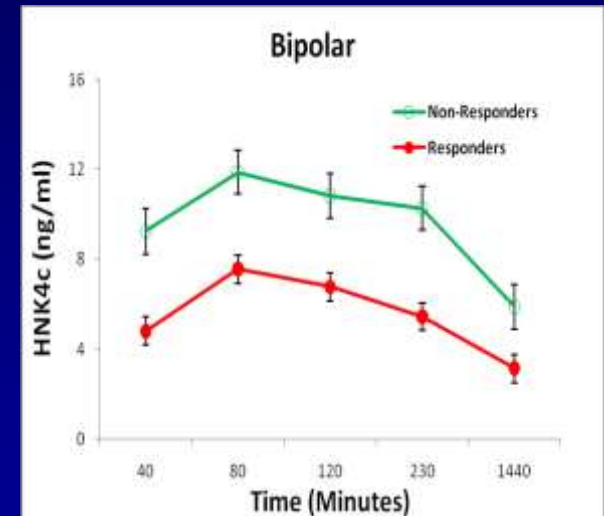
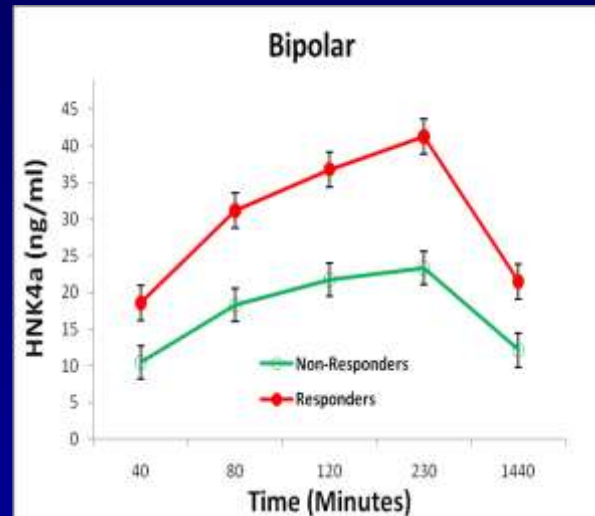
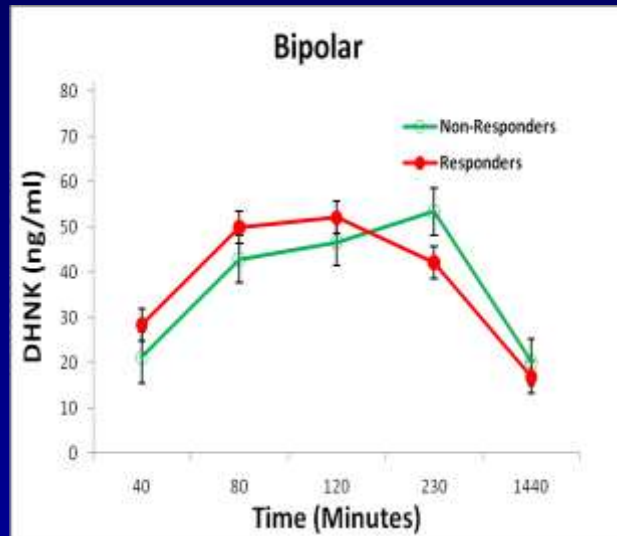
# The average plasma concentrations of the stereoisomers of (R,S)-Ket and its major metabolites in patients treated with a 0.5 mg/kg dose of (R,S)-Ket



## Relationship of Ketamine's Plasma Metabolites with Response, Diagnosis, and Side Effects in Major Depression

-(2S,5S;2R,5R)-HNK (HNK4c) was associated with nonresponse in patients with bipolar depression

-(R,S)-DHNK, (2S,6S;2R,6R)-HNK (HNK4a) and (2S,4R;2R,4S)-HNK (HNK4f) were associated with reduced psychotic and dissociative symptoms at 40 min



Zarate et al. Biol Psych 2012

**Ketamine metabolites inhibit  $\alpha 7$ -nAChR activity**

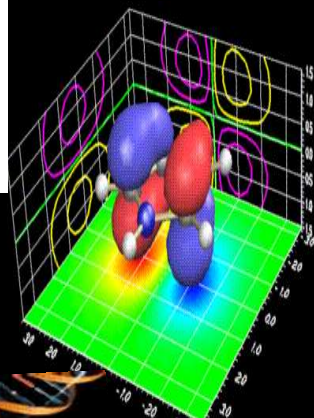
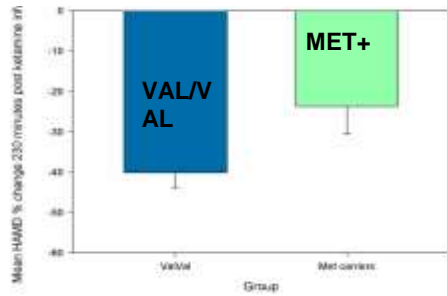
Tran et al. Eur J Pharmacol 2013



# The Neurobiology of Mood Disorders

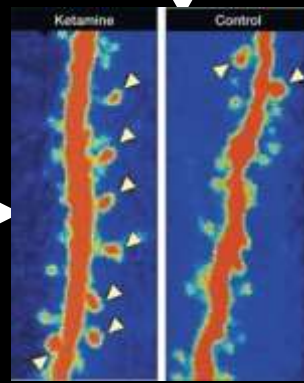
## Understanding the Mechanism of Ketamine Across Different Systems

### BDNF SNP response



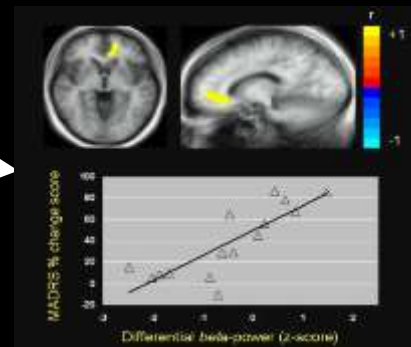
### Cellular Programming

**Gene and Protein Expression**  
? Ketamine effects



### Cells:

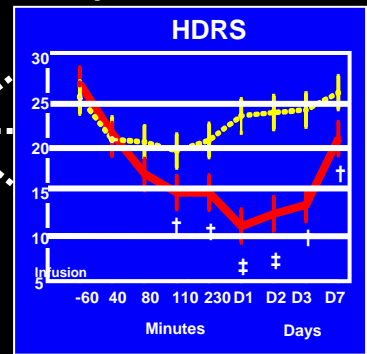
**Ketamine enhances AMPA:NMDA throughput in critical neuronal circuits and activates the mTOR pathway: increased synaptic signaling proteins and increased number and function of new spine synapses in the PFC of rats**



### Systems:

**ACC desynchronization and functional connectivity with the amygdala during a working memory task predict rapid antidepressant response to ketamine**

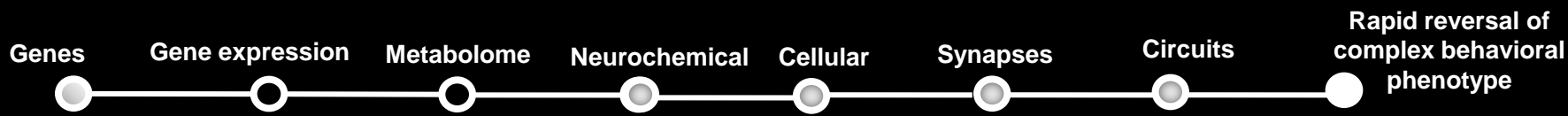
### Depression



### Behavior:

**Rapid reversal of Complex Phenotype**

**Genes**  
Multiple susceptibility alleles each of small effect  
? Ketamine effects



# **Intramural Research Program/NIMH**

## **Mark O. Hatfield Clinical Research Center**



### **Collaborators**

**Intramural Research Program**

**NARSAD awards**

**Brain & Behavior Foundation  
Award**

**Mogens Schou Bipolar Award**

**Patient and their families**

**<http://patientinfo.nimh.nih.gov>**

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